



**Governance Committee**  
**March 1, 2016**  
**10:00 a.m. EST/9:00 a.m. CST/8:00 a.m. MST/7:00 a.m. PST**

**Call-in number: 866-740-1260**  
**Access code: 9286317**

<b>Members</b>	Kevin Goodno, Chair; Terrence Cascino, MD; John Mazziotta, MD; Catherine Rydell, CAE; Thomas Swift, MD	
<b>Staff</b>	Jane Ransom; John Hutchins, JD; Suzi Sherman	
	<b>AGENDA ITEM</b>	<b>PRESENTED BY</b>
	<b>Approve minutes from February 2, 2016</b>	Kevin Goodno
	<b>Draft Board term policy</b>	Kevin Goodno
	<b>Proposed governance training for June, 2016 Board meeting</b>	Kevin Goodno
	<b>Building pool of nominees</b> <ul style="list-style-type: none"> <li>a) Review nominee suggestions from ABF Board members</li> <li>b) Process for deciding: <ul style="list-style-type: none"> <li>i. How many positions to fill this year</li> <li>ii. Desired proportion of neurologists vs. public board members</li> <li>iii. Next steps</li> </ul> </li> </ul>	Kevin Goodno/Jane Ransom

American Brain Foundation  
Governance Committee Meeting  
Tuesday, February 2, 2016  
Held via Teleconference

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**Call to order:** Tuesday, February 2, 2016 at 9:15 a.m. (Central) by Kevin Goodno, Chair.

**In attendance:** Kevin P. Goodno, Chair; Terrence Cascino, MD; John Mazziotta, MD; Jane Ransom; Catherine Rydell; Thomas Swift, MD

**Staff:** Suzi Sherman

Mr. Goodno called the meeting to order at 9:15 a.m. CST and discussed the agenda.

**1. Board term expiration roster: Committee members agreed that a Term Expiration Policy will be drafted for discussion for the next Governance Committee meeting and shall include the following:**

- Directors are eligible to serve 3 terms as director, 2 terms as an officer (other than Chair), 2 terms as Chair, and 2 terms as Past Chair
- Once the Past Chair is done serving their term(s), they roll off from the Board
- Ex-officio non-voting members will not be included in the total count of 22 Board members
- The ex-officio *Neurology Now*® designee will be reassessed on an annual basis during the Board recruitment process
- Terms will expire at the end of the calendar year
  - Drs. Swift, Shulman, and Rosenfeld's terms will be updated to end on December 31, 2017

**2. Board commitment letter: Committee members agreed that the draft board commitment letter provided in the meeting materials would be a good tool for reminding board members of their duties and keeping them in alignment with the organization.** All board members will be asked to sign the commitment letter starting in 2017.

**3. Board matrix populated with current board member information:** Committee reviewed the matrix and assessed what characteristics are missing on the current board.

- a. Gaps:** Need more public members, members who can engage the corporate sector, and members who have leadership development experience.

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- b. Executive Director progress report on discussions with ABF board members about potential nominees:** Appointments are scheduled for this Month. Jane Ransom will be able to report back on the board-identified pool of candidates at next meeting.
- c. Confirm recruitment timeline:** New members will be elected at the end of this year and their terms will be effective January 1, 2017. Board members will be asked to fill out a board member nomination form for candidates they nominate.

Meeting adjourned at 10:01 a.m. CST.



## ABF BOARD NOMINATION POOL

Kevin Goodno, 1/28/16

- **G. "Terry" Newmyer III**, 561-776-0080, [agn@usfid.com](mailto:agn@usfid.com), website: <http://newmyer.org/philanthropy.php> . Bio: A. G. Newmyer, Terry III serves as the Chief Operating Officer of Loree Newmyer Sulkowicz, LLC. Mr. Newmyer founded U. S. Fiduciary Advisors and served as its Managing Director. For two decades beginning in 1974, he developed strategy for clients, particularly in the public affairs arena, at Newmyer Associates, Inc. Mr. Newmyer serves as a Director of BigBad, Inc. He serves on a half-dozen private sector and charity boards. Within the nonprofit sector, he has been particularly active in the health and child welfare fields. The Governor of Maryland appointed Mr. Newmyer to the Children's Trust Fund. and also the Epilepsy Foundation, where he founded The Governors' Council and Vice President of the foundation affiliate in Florida. He was Founding Chairman of The Fair Care Foundation. He is a graduate of Andover and Yale.
- **Steven T. Sabatini**, 212-323-1111, [ssabatini@modernbank.com](mailto:ssabatini@modernbank.com), Board Member, Modern Bank, Bio: Mr. Sabatini currently serves as the Chief Financial Officer of Modern Bank's holding companies, Modern Financial Inc. and Modern Bank Partners LLC., and serves as a financial consultant to the Bank. Mr. Sabatini previously served as Senior Executive Vice President from January 2006 to March 2010 and Chief Financial Officer from January 2006 to March 2009 of the Bank. Prior to joining Modern Bank, Mr. Sabatini served as Senior Executive Vice President and Chief Financial Officer of U.S.B. Holding Co., Inc. and its banking subsidiary Union State Bank from 1995 to 2006. He also was a member of the board of directors of U.S.B. Holding Co., Inc., a New York Stock Exchange company. Prior to his career in banking, Mr. Sabatini worked in public accounting for 21 years, the last 17 years with Ernst & Young, where he served as an audit partner in the financial services group from 1987 to 1994. Mr. Sabatini serves as Vice Chairman and Trustee for Dominican College of Blauvelt, and as a Director of the Epilepsy Foundation of Metropolitan New York. He previously served as a Director of the National Epilepsy Foundation of America from 2001 to 2010, and was Chairman of this organization from 2007 to 2009. Mr. Sabatini also served as a Director of the Hudson Valley Affordable Housing Finance Corporation, and was a member of the Westchester County Business Journal Editorial Advisory Board. Mr. Sabatini received his B.S. degree in accounting from St. John's University in 1973. Mr. Sabatini is a CPA in the state of New York, and is a member of the American Institute of Certified Public Accountants and the New York State Society of Certified Public Accountants where he previously served on various committees as both a member and chairman. He has also been active with the American Bankers Association, the Independent Community Bankers of America, and the New York Bankers Association with prior service on the Accounting, Regulation Review, and Bank Taxation Committees, respectively.
- **Michael T. Keenan**, [mkeen@aresmgmt.com](mailto:mkeen@aresmgmt.com), Bio: Mr. Keenan is a Principal in the Ares Direct Lending Group, where he focuses on the group's Commercial Finance platform. Prior to joining Ares in 2015, Mr. Keenan was a Vice President at FirstMerit Bank, N.A. Previously, Mr. Keenan was a Vice President at Wells Fargo Bank. In addition, Mr. Keenan was a Vice President at Fifth Third Bank and an Assistant Vice President at National City Bank. Mr. Keenan holds a B.A. from Indiana University of Pennsylvania in Finance and an M.B.A. from the University of Pittsburgh Katz Graduate School of Business in Finance.

Joe Sirven, 2/4/16

- **Warren Lammert**, former president of the national Epilepsy Foundation, Boston based, founded Janus Fund
- **Susan Axelrod**, Cure Epilepsy Foundation, married to David Axelrod
- **Jim Abrams**, wrote Naked Gun, Airplane movies. Son has epilepsy. He's been involved with the Epilepsy Foundation.
- **Tony Coelho**, was Gore's presidential campaign manager, was a congressman for 20 years, was the author/primary sponsor of the Americans with Disabilities Act. Helps Epilepsy Foundation a lot (currently on national board) and is doing his own consulting. Knows everyone in government. He's a connection guy and is based in California (central valley).
- **Greg Grunberg**, character actor, very passionate, son has epilepsy, Hollywood person

Gordon Smith, 2/4/16

- **Ann Romney**, we approached her a few years ago, but she didn't want new board responsibilities, active in MS, talk to Dr. Mazziotta
- **Earl Holding** owned Sinclair Oil. Deceased. Was a patient of Dr. Smith's. Someone in his family?
- **Cindy McCain**, active on migraine
- **Patrick Kennedy**, has shown interest in funding for neurology projects

Ralph Jozefowicz, 2/8/16

Dr. Jozefowicz will be asking his colleagues for public board member ideas and getting back to me.

Tom Swift, 1/27

- **Abram J. Serotta**, [abramserotta@smecpa.com](mailto:abramserotta@smecpa.com), was born and raised in Augusta, GA where he graduated from the Academy of Richmond County. He went on to earn a Bachelors in Accounting from the University of Georgia in 1968, where he also earned his Masters of Accountancy in 1969. Abram passed his CPA exam while in college. Abram has been with Serotta Maddocks Evans, CPAs since 1969 and served as President from 1971 until 2012. Abram has been honored for his commitment and contribution to the accounting industry in several ways over the years. He was selected twice as one of Accounting Today's 100 Most Influential CPAs in the United States, served as the Chairman of Augusta University's College of Business Advisory Committee and was elected "Alumni of the Year" by University of Georgia, Terry College of Business. In addition, Abram has testified before Congress on tax issues and served on the AICPA Committees on Individual Tax and Tax Legislation. Abram mentors and lectures to college students on career planning and is currently teaching Tax Research at Augusta University. Abram has served on many not-for-profit boards and has been an advisor and consultant to others. He has chaired the Metro Augusta Convention and Visitors Bureau, Augusta Metro Chamber of Commerce and the Community Foundation of the Central Savannah River Area and is also a past member of the Board of Directors of the Morris Museum of Art. He initiated the partnership

between the Community Foundation of the CSRA and the Augusta National to establish a fund that distributes over one million dollars annually. Abram and his wife Cookie have two children, Ashley and Jason, and three grandchildren. Abram enjoys spending time with his children and grandchildren, fishing and reading history.

John Mazziotta, 2/12/16

- **Honorary Board members** are also important and he'll help with it
- **Ann Romney**: Dr. M. has connections through friends with horse ranch in LA and Boston neurologists where she's funded a center.
- **Patrick Kennedy**: Disinclined to this suggestion because he is not easy to work with.
- **Concierge doctor**: Suggested approach is to ask ABF neurologist board members from high wealth areas to identify 1-2 concierge doctors to feed donors into ABF systems

Jeffrey Rosenfeld, 2/12/16

- **Ersikine Bowles**
  - Had relationship with him back in N. Carolina
  - Has family ALS issue
  - Lauren had trouble contacting him. He has a lot of capacity and he's generous.
  - His wife Crandall Bowles was the CEO of Springs Industries, large textile firm in S. Carolina. She herself was very invested in the cause of ALS and brought in her brother (Derrick?)
  - One of their daughters became a physician
  - They are altruistic
- **Voluntary Health Organizations**: Consider inviting some of their board members to also sit on our board as a way of extending their reach.
- **Augie Nieto** – businessman on west coast and has been very involved with ALS and is aligned with one of the ALS organizations, may be looking of public venue.

Ben Utecht, 2/16/16

- **Drew Pearson**, successful entrepreneur in Minneapolis with his own branding and marketing firm called Goodbye Vanilla. Would bring a challenging perspective on how to make something a national brand. He's a TBI survivor interested in brain-related issues. Probably has funds to give. Highly connected, not only in MN but on both coasts.
- **Matt Frauenshuh**, successful commercial real estate biz in Minneapolis. Owns 200 Dairy Queens all over the country. Has his own foundation connected to children of military and armed forces parents. Revolves a lot around hockey and football. Concussion interest due to military TBI?

- **John Pohlad**, Minnesota, Alzheimer's has really affected his family. Highly involved in Folds of Honor. Help make connections? Financial advising background. Family foundation. Investor. Incredibly well-connected in Mpls and around the country.
- **Craig Skiem**, Ben's uncle. Has a child with brain disorder/mental health issues. Owns a sports and leisure consulting firm. Consulted on the Vikings stadium. Connections around the US within the sports world.
- **Dr. Jeff Kutcher**: Prominent sports neurologist. Has been a big part of AAN's concussion work. We would get a neurologist and entrepreneur in once package.

#### Dr. Griggs, 2/17/16

- Talk with non-conflicted neurologists about people they know. Maybe convene the following in Vancouver for a discussion:
  - **Richard LaFrance**, at Corvallis Clinic in Oregon, knows lay people in the area, been active on professional standards and grievance committee of AAN, was a student/resident
  - **Patricia Penovich** in John Gates' practice. She heads a service at one of the hospitals in Mpls and was on nominating committee for AAN.
  - **Jim Stevens**, AAN Board
  - **Tom Vidic**, AAN Board

#### Jane Ransom

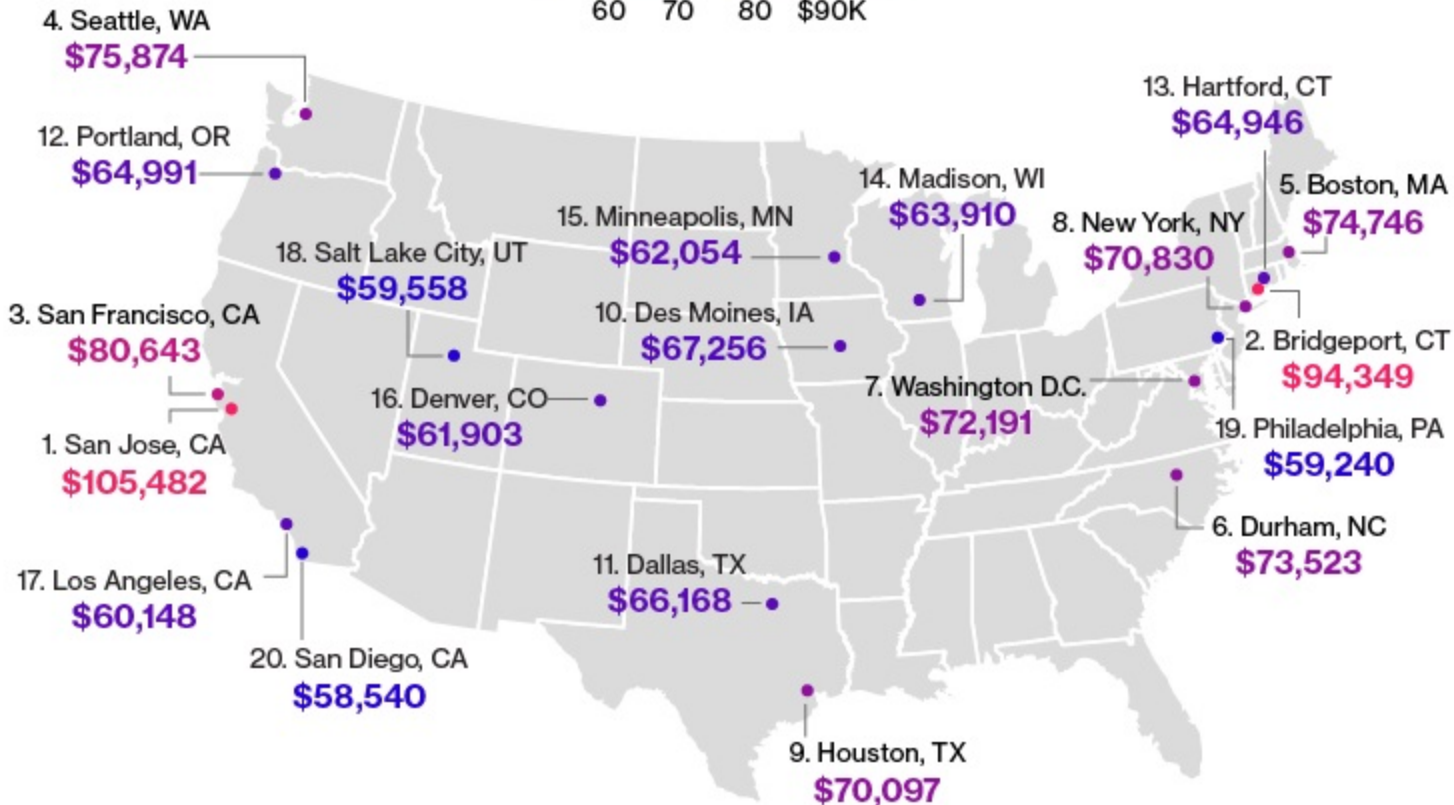
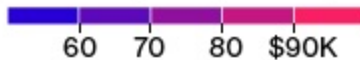
- **Susan Schneider Williams**, [criticalyeyedesign.com](http://criticalyeyedesign.com), Mill Valley, CA. Widow of actor Robin Williams. She is quite interested in the ABF and is speaking at Commitment to Cures in Vancouver. She has seemed interested when the possibility of becoming involved on the board was touched upon lightly. She would bring branding/design/marketing experience to the ABF, along with entertainment world connections from her marriage to Robin Williams. I would like the Governance Committee to consider having a small delegation meet with her in Vancouver and invite her to join the Board. I would like us to consider immediately accepting her if she says "yes," rather than putting her into a pool and saying we'll be back in touch with her.

#### Cathy Rydell

- **Stephen Benjamin**, PSAV, VP of Accounts. PSAV provides event technology services within the hotel, resort and conference center industry. The AAN is a PSAV client as are many of the state neurological societies. In 2015 PSAV was a \$5,000 sponsor for our Standing Strong event. Steve is interested in joining the Board. Steve is African American so would bring race/ethnic diversity to the ABF Board.

# The 20 Richest Cities in America

2014 gross metropolitan product per resident



Sources: U.S. Bureau of Economic Analysis, U.S. Census Bureau