

A.Gordon Smith

Project Title: *Axonal mitochondrial failure precedes chemotherapy induced neurotoxicity in breast cancer patients*

Requested Amount: \$75,000

Contents

| | |
|--|----|
| Vote Tabulations with Comments | 1 |
| LOI | 2 |
| Full Application | 5 |
| Supporting Documents | |
| Budget | 14 |
| Evidence of Institutional Support | 16 |
| Evidence of IRB or IACUC Approval or Exemption | 17 |
| Conflict of Interest and Financial Disclosure | 18 |
| CV/NIH Bio Sketch of Investigator(s) | 25 |

Vote Tabulations

| | Yes | No |
|------------------|-----|----|
| LOI | 11 | 2 |
| Full Application | 2 | 1 |

Reviewer Comments:

- It is an interesting proposal and should be easy to study and investigate. Dr. Smith is an internationally recognized expert in the field and has extensive experience with skin biopsies. However, I am not aware of his expertise in electronmicroscopy and the application does not detail any equipment details or expertise details about electron microscopy. Since these are crucial to the study design and conduct, i believe these are important omissions and should be addressed before a funding decision can be made. Otherwise the study is a good study.
- This is an interesting and novel proposal that addresses an extremely important, and somewhat neglected aspect of neurology/neuromuscular disease; namely, chemo-induced neuropathy. The project builds on prior work done by the investigator and colleagues, who has become a leader in this field. Although the actual project is a little esoteric and may be difficult for donors to comprehend in a deep way, this is offset by the fact that the drug is used in breast cancer and other common neuropathies. My only (mild) criticism is that comments on next steps are where this research might lead are a little nebulous on the one hand (i.e. better treatments with no specifics) and grandiose on the other (i.e. may help us understand fibromyalgia). Again, this is mild criticism as this is solid proposal worthy of funding by ABF donor mechanism.

ABF Letter of Intent

Letter of Intent Form

| | |
|---------------------------------------|--|
| Prefix | |
| Dr. | |
| First Name | |
| A. Gordon | |
| Last Name | |
| Smith | |
| Suffix | |
| MD | |
| Title | |
| Professor and Vice Chair of Neurology | |
| Institution | |
| University of Utah | |
| Office Address | |
| Department of Neurology | |
| 30 North 1900 East SOM3R242 | |
| City | |
| Salt Lake City | |
| State | |
| Utah | |
| Postal Code | |
| 84132 | |
| E-mail | |
| gordon.smith@hsc.utah.edu | |
| Office Phone | |
| 801-581-8960 | |
| Office Fax | |

Project Details

| | |
|---|--|
| Project Title | |
| Axonal mitochondrial failure precedes chemotherapy induced neurotoxicity in breast cancer patients | |
| General focus | |
| ALS & Neuromuscular | |
| Technology & Innovation | |
| Specific Disease Focus | |
| Chemotherapy Induced Peripheral Neuropathy | |
| Project Description | |
| Chemotherapy-induced peripheral neuropathy (CIPN) is the most common dose-limiting side effect of first line chemotherapeutic agents including the microtubule inhibitor paclitaxel, a common agent in the treatment of | |

breast cancer. Paclitaxel infusion frequently causes acute widespread pain that is thought to be neuropathic in origin (paclitaxel acute pain syndrome, P-APS). Our preliminary data suggest patients with P-APS have a higher risk of subsequent CIPN. The mechanisms by which paclitaxel causes P-APS and CIPN are unknown, and there are no effective preventative or treatment strategies.

Data from animal models of CIPN suggest paclitaxel and other neurotoxic chemotherapy agents impair microtubular dynamics and mitochondrial calcium signaling leading to increased mitochondrial permeability, swelling, and toxicity. Mitochondrial injury is a well recognized contributor to axonal degeneration in other forms of neuropathy, including diabetes (which is itself a CIPN risk factor). We hypothesize that paclitaxel leads to acute mitochondrial stress in small unmyelinated nociceptive axons resulting in P-APS. Continued exposure leads to length dependent mitochondrial toxicity and, ultimately axonal degeneration manifested as clinical CIPN. Preliminary data indicate that 70% of paclitaxel patients develop P-APS and 42% CIPN. Among those with clinically defined CIPN, nerve conduction studies (NCS) are normal in 46%, and 86% of these have normal intraepidermal nerve fiber density (IENFD). These findings are consistent with functional axonal failure preceding axonal degeneration.

We propose to address our hypothesis by fulfilling the following two specific aims:

Specific Aim #1: Determine if mitochondrial injury leads to functional axonal failure prior to degeneration. 20 breast cancer patients with planned paclitaxel therapy will undergo a baseline evaluation including validated neuropathy scales, NCS and distal leg skin biopsy for IENFD determination. Immunohistochemistry with quantitative image analysis will be used to evaluate axonal mitochondrial numbers and distribution. Electron microscopy (EM) will be used to directly visualize and analyze the size and structure of mitochondria in axons and keratinocytes for comparison. These procedures will be repeated 2 weeks after the final paclitaxel dose. We anticipate that patients with functional axonal failure (CIPN with normal NCS and IENFD) will have greater axonal mitochondrial injury compared to keratinocytes, and that the severity will be intermediate between those without CIPN and those with evidence of axonal degeneration based on NCS and IENFD.

Specific Aim #2: Determine if P-APS is associated with abnormalities of mitochondrial structure. The first 5 patients who develop P-APS will undergo a distal leg skin biopsy, which will be processed and analyzed as outlined in SA#1. We anticipate that mitochondria from both axons and keratinocytes will have abnormal structure and distribution, suggesting generalized mitochondrial injury. If mitochondrial or other structural axonal abnormalities are observed, skin biopsies will be obtained from 5 patients without P-APS at the same time point for comparison.

How will your project contribute to the treatment, prevention or cure of a neurological disease(s)?

Multiple forms of peripheral and central nervous system neurodegeneration share mitochondrial injury as a common element. Among these, CIPN represents an ideal model in which to study axonal degeneration because it is foreseeable, predictable, can be followed from onset through recovery, and the target tissue is accessible for investigation. Peripheral nervous system axons (particularly unmyelinated nociceptive fibers) have excellent regenerative capacity, making peripheral neurodegeneration an appealing target for therapeutic approaches that can subsequently be applied to other neurodegenerative disorders. The results of the proposed studies promise to fundamentally impact our understanding of CIPN. Demonstration of mitochondrial changes in P-APS would provide the first evidence of disease mechanism for this enigmatic and clinically significant condition. Association with mitochondrial derangement would inform new mechanistic research and therapeutic approaches for CIPN prevention, potentially leading to significantly improved quality of life for cancer survivors. Development of preventative strategies for CIPN will permit more aggressive chemotherapy dosing leading to improved survival. Recognition of mitochondrial failure as an important mechanism in P-APS and CIPN would suggest a similar role in other common neurological disorders such as diabetic and idiopathic peripheral neuropathies and diffuse unexplained pain syndromes such as fibromyalgia.

Project Budget

Total expense budget

An estimated total is acceptable.

\$150,000

Value of existing funding or in-kind support

What portion of the above total expense has funding already received or promised?

\$75,000

Portion to be raised through crowdfunding

How much are you seeking from the crowdfunding platform?

\$75,000

Attachments and Verifications

Please download, fill out, and upload the [Financial Disclosures & Conflict of Interest form](#).

Financial Disclosures & Conflicts of Interest Form

Package1.pdf

CV of Principal Investigator

SmithCV02-01-2017.pdf

I understand that the American Brain Foundation will not post approved projects for crowdfunding until documentation of IRB approval or exemption is provided.

Yes

ABF Full Application**Applicant Information**

Prefix

Dr.

First Name

A. Gordon

Last Name

Smith

Suffix

Title

Professor and Vice Chair of Neurology

Institution Name

University of Utah

E-mail

gordon.smith@hsc.utah.edu

Office Phone

801-581-8960

Office Fax

Project Details

Project Title

Axonal mitochondrial failure precedes chemotherapy induced neurotoxicity in breast cancer patients

Project Start Date

July 01, 2017

Project End Date

June 30, 2018

Disease focus

ALS & Neuromuscular

Technology & Innovation

Specific Disease Focus

Chemotherapy Induced Peripheral Neuropathy

Project Summary/Abstract

Chemotherapy induced peripheral neuropathy (CIPN) is the most common dose-limiting side effect of paclitaxel, a first line breast adenocarcinoma treatment. CIPN causes disability due to pain, numbness, and gait instability. Up to 45% of patients develop acute widespread neuropathic pain (paclitaxel acute pain syndrome, P-APS). P-APS patients are at risk for greater CIPN severity. The etiologies of P-APS and CIPN are poorly understood; there are no effective treatments. Half of patients with early CIPN have normal nerve conduction studies

(NCS) and intraepidermal nerve fiber density (IENFD) consistent with pre-degenerative functional failure. CIPN animal models show impaired axonal microtubular dynamics, mitochondrial permeability with swelling and vacuolization. We hypothesize P-APS is due to axonal mitochondrial stress that progresses to distal axonal failure and axon loss. 20 Breast adenocarcinoma patients who will receive paclitaxel will undergo CIPN specific disease severity scales, NCS and skin biopsy for IENFD prior to treatment. Immunohistochemistry with quantitative image analysis will evaluate mitochondrial size, number and distribution in epidermal axons and keratinocytes. This evaluation will be repeated 2 weeks following the final paclitaxel dose. The first 5 patients who develop P-APS have a skin biopsy. If mitochondrial changes are noted 5 age-matched patients without P-APS will be evaluated at the same time point for comparison. We anticipate P-APS will be associated with structural mitochondrial changes including increased distal mitochondrial volume with vacuolization, swelling, and clusters of small mitochondria, and that these changes will increase in severity with progression to functional axonal failure and ultimately degeneration among CIPN patients.

Project Narrative

Nerve damage is the most common dose limiting side effect of paclitaxel, one of the most important chemotherapy drugs used to treat breast cancer. Nerve damage (chemotherapy induced peripheral neuropathy -- CIPN) causes numbness and pain in the feet and legs and can lead to trouble walking and falls. Some patients treated with paclitaxel develop pain all over their body after infusions. This is called paclitaxel acute pain syndrome (P-APS). P-APS is associated with more severe CIPN. We do not know what causes P-APS or CIPN and there are no treatments. Animals treated with paclitaxel develop abnormalities in the mitochondria in the nerves. Mitochondria are responsible for producing energy for the cell. We hypothesize that P-APS is due to damaged mitochondria in the nerves and that with more paclitaxel the damage worsens resulting in abnormal nerve function and ultimately nerve damage. We will test this theory by evaluating nerve function in 20 people with breast cancer who will be treated with paclitaxel. We will perform a small skin biopsy above the ankle about the size of the letter "O". Using a powerful microscope we will look at the nerve mitochondria. We will repeat this evaluation after the end of chemotherapy. We will also do this test in 5 people who develop P-APS and 5 more who do not have P-APS. We expect to see increasing severity of mitochondrial damage from P-APS to CIPN. This observation could lead to new treatments to prevent or treat CIPN.

Facilities and Equipment

The Cutaneous Nerve Laboratory serves as a core resource for NIH and foundation-funded research projects as well as industry sponsored studies in humans and animal models. The laboratory has experience using multiple immunohistochemistry markers of peptidergic and non-peptidergic innervation, nerve regeneration, growth factor receptor expression and inflammation. Through the University of Utah's Cell

Imaging Core Facility, the lab has access to four inverted confocal microscopes, including a Nikon A1 and A1R, and two Olympus FV1000 spectral confocal microscopes. The core utilizes software analysis tools for quantitative analysis of image data, including Metamorph, Imaris and Volocity software for 2D and 3D analysis. In the Cutaneous Nerve Laboratory, a Nikon Eclipse 80i microscope with a digital camera and image analysis system is used for nerve quantitation and morphometric analysis (Spot Diagnostics Imaging software 5.2). An Olympus BH2 microscope is also located in the lab. Other laboratory equipment include two Microm freezing-sliding microtomes, two Olympus SZ61 dissecting microscopes, a mixing plate, and a pH meter as well as other essential equipment. Four freezers with backup systems and alarms are located in the lab. Peter Hauer, the laboratory manager, is responsible for coordinating scheduled quality control procedures in collaboration with labs at Johns Hopkins University, University of Rochester and Icahn Mount Sinai medical center. As a clinical diagnostic/research laboratory, the lab is CAP and CLIA certified, and FDA-compliant. The University of Utah Neurophysiology Core Laboratory provides electrophysiologic testing for NIH, foundation, investigator and industry funded studies. Equipment Oxford Synergy and Viking-Natus electrodiagnostic equipment.

Specific Aims

Chemotherapy-induced peripheral neuropathy (CIPN) is the dose-limiting side effect of paclitaxel, a commonly used agent for breast adenocarcinoma. Paclitaxel causes acute widespread neuropathic pain in 45% of patients (paclitaxel acute pain syndrome, P-APS); over 40% develop CIPN. Animal models suggest paclitaxel is mitotoxic(1). We hypothesize paclitaxel causes acute mitochondrial stress in small unmyelinated axons resulting in P-APS; continued exposure causes length-dependent mitochondrial toxicity and axonal degeneration.

SA#1: Determine if mitochondrial injury is associated with predegenerative functional axonal failure. CIPN scales, nerve conduction studies (NCS) and calf skin biopsy for intraepidermal nerve fiber density (IENFD) determination will be performed on 20 patients prior to paclitaxel. Immunohistochemistry with quantitative image analysis will evaluate axonal mitochondrial number and distribution(2). Electron microscopy will measure mitochondrial size and structure. These procedures will be repeated 2 weeks after the final dose. We anticipate patients with functional axonal failure (CIPN with normal NCS and IENFD) will have greater axonal mitochondrial injury (e.g. swelling, vacuolization, reduced size and increased numbers) compared to keratinocytes, and that the severity will be greater in those with evidence of axonal degeneration.

SA#2: Determine if PAP-S is associated with mitochondrial structural changes. The first 5 patients who develop PAP-S will undergo a repeat biopsy and clinical scales at that time. We anticipate that axonal, but not keratinocyte, mitochondria will have abnormal structure, size and distribution, but that IENFD and NCS will remain normal. If

mitochondrial axonal abnormalities are observed, 5 age-matched patients without P-APS will be evaluated at the same time.

Research Strategy

Significance, Innovation, Approach, Timeline

Significance

CIPN is the most common dose limiting side effect of many of the most commonly used chemotherapies. CIPN causes numbness, weakness, pain and gait instability, leading to reduced quality of life and increased fall risk(3,4). Over 1/3rd of CIPN patients have a significant reduction in chemotherapy dose or cessation of therapy, likely leading to suboptimal outcomes and potentially reduced survival(5). There are no effective prevention or treatment strategies. The microtubular inhibitor Paclitaxel, a first-line therapy for breast adenocarcinoma, causes CIPN in over 40% of patients. Infusion causes widespread neuropathic pain (PAP-S) in 45%. Our preliminary data suggest PAP-S is associated with greater CIPN severity. The pathophysiology of P-APS is unknown.

Animal models suggest paclitaxel impairs microtubular dynamics and mitochondrial calcium signaling, increasing permeability and causing swelling, and vacuolization(1). Mitochondrial injury is a well-recognized contributor to axonal degeneration in other neuropathies, including diabetes (a CIPN risk factor)(6). We hypothesize paclitaxel leads to acute mitochondrial stress in small unmyelinated nociceptive axons resulting in P-APS. Continued exposure causes length-dependent mitochondrial toxicity and axonal degeneration. Preliminary data suggest 45% develop P-APS and 42% CIPN. Among those with CIPN signs and symptoms, NCS are normal in 46%, of whom 86% have normal IENFD, consistent with functional, potentially reversible, axonal failure.

The results promise to fundamentally enhance understanding of CIPN, link the mechanism of PAP-S to CIPN, and suggest novel therapeutic approaches. Demonstration of mitochondrial changes in P-APS would provide the first evidence of a disease mechanism for this enigmatic condition. Association with mitochondrial derangement would inform new mechanistic research and therapeutic approaches for CIPN prevention, potentially leading to significantly improved quality of life for cancer survivors. Development of preventative strategies for CIPN will permit more aggressive chemotherapy dosing leading to improved survival.

Innovation

Skin biopsies are routinely used to assess IENFD for neuropathy diagnosis and as an outcome measure in clinical trials(7). Use of human skin biopsies for discovery research has been limited. We have previously utilized the proposed techniques to study painful versus non-painful diabetic neuropathy(2). Application of similar techniques in this population is innovative and promises to further establish skin biopsy as a promising method explore disease mechanism in human subjects across neuropathy subtypes.

Approach

We are currently completing a natural history study of CIPN that is enrolling patients receiving potentially neurotoxic chemotherapy. We propose to enroll 20 additional breast cancer patients with planned paclitaxel therapy in this protocol. Those with a history of existing neuropathy or prior exposure to neurotoxic chemotherapy will be excluded.

SA#1: Prior to receiving paclitaxel the following procedures will be performed: EORTC-CIPN20 (a PRO)(8), Rasch Transformed Total Neuropathy Scale(9), CIPN-RODS (CIPN disability scale)(10), NCS and distal leg skin biopsy. IENFD will be determined using standard techniques and immunohistochemistry with quantitative image analysis will be used to evaluate axonal mitochondrial numbers and distribution as we have previously described(2). EM will be used to measure mitochondrial number, size and structure in epidermal axons and keratinocytes. The evaluation will be repeated 2-weeks after paclitaxel completion. Total dose will be recorded and modifications cataloged. Expected results and potential problems: We anticipate axonal mitochondrial volume will increase from baseline, and there will be similar morphological changes to those observed in diabetic neuropathy(2). EM is expected to show vacuolization and swelling with clusters of small mitochondria, consistent with animal models(1). We expect mitochondria from keratinocytes to be relatively normal. These findings will be milder in those with functional axonal failure compared to those with evidence of axonal degeneration based on NCS and IENFD. We do not anticipate difficulty with recruitment based on our ongoing CIPN natural history study. Our collaborative team has experience with the proposed immunohistochemical studies. While we have not used EM in this fashion our laboratory manager has extensive EM experience and we do not foresee difficulties (we have reviewed the proposed studies and protocol with our microscopy core in preparation for this submission).

SA#2: The first 5 patients recruited for SA#1 who develop PAP-S will be asked to undergo the procedures outlined in SA#1 at that time. If mitochondrial or other structural axonal abnormalities are observed, 5 age-matched patients without P-APS will be recruited for comparison at a similar time point.

Expected results and potential problems: We anticipate that axonal, but not keratinocyte, mitochondria will have abnormal structure and distribution, suggesting generalized mitotoxicity. We expect those with the greatest degree of mitochondrial changes will be at greatest CIPN risk (recognizing this study is underpowered for this analysis). One potential problem is that patients may be reluctant to undergo additional evaluation. Given our recruitment success in other CIPN studies and the small number of patients we do not expect recruitment to be a challenge.

Timeline

Recruitment will be completed over 5 months and data acquisition by 9 months. Pathological studies and data analysis will require an additional 3 months.

List up to 5 milestones you will reach within the first 6 months of your study.

We anticipate the full project to take approximately 12 months to complete. During the first 6 months we will complete the following 5 milestones.

1. Month 1: We will enroll the first participants by the end of the first month.
2. Month 2: During the first two months we will refine the immunohistochemistry and electron microscopy protocols using banked tissue from a normal control, a patient with CIPN and normal IENFD, and a CIPN patient with reduced IENFD. This will allow us to identify and resolve any unanticipated technical issues.
3. Month 3: By the end of the third month we anticipate having enrolled 5 P-APS patients.
4. Month 4: By the end of the fourth month we project recruitment will be 50% completed
5. Month 5: By the end of month 5 we will have determined if there are mitochondrial changes in P-APS patients and if so will have enrolled 5 non P-APS patients. If there is uncertainty regarding the extent of mitochondrial abnormalities we will enroll non P-APS patients for comparison.

Age of Population Group(s) that will potentially benefit from this research

(check boxes that apply)

All Ages

Scientific Literature References

Reference 1

1. Cashman CR, Höke A. Mechanisms of distal axonal degeneration in peripheral neuropathies. *Neurosci Lett*. 2015 Jun;596:33--50. PMID: PMC4428955

Reference 2

2. Hamid HS, Mervak CM, Münch AE, Robell NJ, Hayes JM, Porzio MT, et al. Hyperglycemia- and neuropathy-induced changes in mitochondria within sensory nerves. *Ann Clin Transl Neurol*. 2014 Oct;1(10):799--812. PMID: PMC4241807

Reference 3

3. Cavaletti G. Chemotherapy - induced peripheral neurotoxicity (CIPN): what we need and what we know. *Journal of the Peripheral Nervous System*. Wiley Periodicals, Inc; 2014 Jun 1;19(2):66--76.

Reference 4

4. Kolb NA, Smith AG, Singleton JR, Beck SL, Stoddard GJ, Brown S, et al. The Association of Chemotherapy-Induced Peripheral Neuropathy

Symptoms and the Risk of Falling. JAMA Neurol. American Medical Association; 2016 Jul 1;73(7):860--6.

Reference 5

5. Speck RM, Sammel MD, Farrar JT, Hennessy S, Mao JJ, Stineman MG, et al. Impact of chemotherapy-induced peripheral neuropathy on treatment delivery in nonmetastatic breast cancer. J Oncol Pract. 2013 Sep;9(5):e234--40.

Reference 6

6. Vincent AM, Edwards JL, McLean LL, Hong Y, Cerri F, Lopez I, et al. Mitochondrial biogenesis and fission in axons in cell culture and animal models of diabetic neuropathy. Acta Neuropathol. 2010 ed. 2010 Oct;120(4):477--89.

Reference 7

7. Lauria G, Hsieh ST, Johansson O, Kennedy WR, Leger JM, Mellgren SI, et al. European Federation of Neurological Societies/Peripheral Nerve Society Guideline on the use of skin biopsy in the diagnosis of small fiber neuropathy. Report of a joint task for

Reference 8

8. Alberti P, Rossi E, Cornblath DR, Merkies ISJ, Postma TJ, Frigeni B, et al. Physician-assessed and patient-reported outcome measures in chemotherapy-induced sensory peripheral neurotoxicity: two sides of the same coin. Ann. Oncol. 2014 Jan;25(1):257--64

Reference 9

9. Binda D, Cavaletti G, Cornblath DR, Merkies ISJ. Rasch-Transformed Total Neuropathy Score clinical version (RT-TNSc((c))) in patients with chemotherapy-induced peripheral neuropathy. Journal of the Peripheral nervous system : JPNS. 2015 Sep;20(3):328--

Reference 10

10. Binda D, Vanhoutte EK, Cavaletti G, Cornblath DR, Postma TJ, Frigeni B, et al. Rasch-built Overall Disability Scale for patients with chemotherapy-induced peripheral neuropathy (CIPN-R-ODS). Eur. J. Cancer. 2013 Sep;49(13):2910--8.

Budget, Attachments and Acknowledgements

Budget

We recognize that changes may have occurred since the time you submitted your Letter of Intent. Please share the most recent accurate numbers below:

Total Project Budget

150145

Total existing funding or in-kind support

75145

Amount to be raised through crowdfunding campaign

75000

Evidence of institutional support (letter)

Smith, Gordon LOS_ABF_CIPN_3.27.17.pdf

Full budget

Paclitaxel Budget justification_3-27-17.docx

Documentation of IRB/IUCAC approval or exemption, if applicable.

IRB Approval Documentation.pdf

Completed conflict of interest & disclosure form

AGS_Other Support_MM_2-9-17.docx

I understand that the ABF will not list approved projects for general public crowdfunding campaigns until documentation of IRB/IUCAC approval or exemption is provided.

Yes

I understand that approval of the project to be shared on the crowdfunding campaign site is dependent on providing and working with the ABF staff to create the requisite materials that present the project in an engaging, easy-to-understand website presentation. I am amenable to working with the ABF staff to create such materials.

Yes

I understand that approval once a project has been completed, I will be required to submit a summary of my findings to be posted online (one page), and will submit this in a reasonably timely fashion. I also agree to submit a financial report, and to co-sign a thank you letter with the ABF that will be sent to donors.

Yes

I understand and agree that the ABF may share the information that I provide (including but not limited to the project description and relevant biographical/background details) in conversations with other potential funders outside the website to bolster fundraising efforts.

Yes

American Brain Foundation Release Agreement

American Brain Foundation Release Agreement – Research

1. **Grant.** For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I grant to the American Brain Foundation ("ABF") and to the ABF's affiliates (including the American Academy of Neurology), and their respective contractors, agents, assigns, licensees, and successors (collectively, the "ABF Group"), a worldwide, royalty-free, perpetual, irrevocable right to take and use my image, likeness, voice, verbal statements, written testimonials and name and all images, videos, sound recordings, and written and verbal materials that I provide to the ABF (collectively, the "Materials"), in all forms and media, including composite or modified representations, for the purpose of promoting and supporting the missions of the ABF. For the avoidance of doubt, the Materials include all research project proposal information, project reports and other research-related information submitted to the ABF. I understand and agree that the ABF may publish the Materials on any and all media, including printed matter, promotional materials, e-mail, websites and social media platforms.

2. **Acknowledgement of Use.** I understand that the ABF Group may use the Materials on any and all media, including printed matter, promotional materials, e-mail, websites and social media platforms. I understand that the ABF's use of the Materials may intentionally or unintentionally give rise to the impression that either I or a family member suffers from brain/neurologic disease, and I nevertheless consent to this use. The ABF is not obligated to utilize any of the rights granted in this agreement. I waive the right to inspect or approve any uses of the Materials in connection with this grant.
3. **Warranty.** I warrant that I have the full power to enter into this agreement and to grant the aforementioned rights.
4. **Release.** I release the ABF Group from all liability for any claims that may arise regarding the use of Materials, including any claims of defamation, invasion of privacy, or infringement of moral rights, rights of publicity, or copyright. The ABF is permitted, although not obligated, to include my name as a credit in connection with any use of the Materials. **I have read and understood this agreement, I understand that it contains a release of liability, and I am over the age of 18.** This agreement expresses the complete understanding of the parties and shall be binding on me and my heirs, legal representatives and assigns. I understand that I am entering into a legally binding agreement and that clicking "I Accept" below shall have the same legal effect as my signature on this Release Agreement.

I Accept

Budget: Early distal axonal mitochondria injury with paclitaxel

| Personnel | Role | FTE | Salary | Benefits | Total |
|---------------------------------|--|--------|----------|----------|-----------|
| | Cutaneous Nerve Laboratory Technician | 0.5 | \$80,000 | 0.37 | \$54,800 |
| | Study Coordinator | 0.75 | \$60,000 | 0.37 | \$61,650 |
| | <i>Total</i> | | | | \$116,450 |
| Participant evaluations | Baseline | 25 | | | |
| | Paclitaxel paresthesias | 5 | | | |
| | Controls | 5 | | | |
| | Followup visits | 20 | | | |
| Study procedures | | number | cost | | Total |
| <i>Light microscopy</i> | Confocal Imaging | 55 | \$45 | | \$2,475 |
| | Imaris Image Analysis | 55 | \$21 | | \$1,155 |
| <i>Electron microscopy</i> | Epon processing | 55 | \$30 | | \$1,650 |
| | Electron microscopy grids | 55 | \$48 | | \$2,640 |
| | Electron Microscopy analysis | 55 | \$240 | | \$13,200 |
| <i>Nerve conduction studies</i> | Sural and Peroneal with conduction and F reagents for immunohistochemistry and electron microscopy | 55 | \$165 | | \$9,075 |
| Materials | | | \$3,500 | | \$3,500 |
| Total | | | | | \$150,145 |

Budget justification

Personnel.

Summer Malia Karafiath MD will be the Study coordinator (75% FTE). She has extensive experience leading and coordinating CIPN clinical research and is responsible for an ongoing CIPN natural history study from which patients will be recruited for this project. She will be responsible for participant recruitment from among breast cancer clinic at the Huntsman Cancer Institute. She will obtain consent, schedule and coordinate all in-person study procedures including performance of skin biopsies.

Peter Hauer will be the Skin Technician (50% FTE). Mr. Hauer led the development of the currently used technique to study cutaneous nerves during his 25 year tenure leading the Cutaneous Nerve Lab at the Johns Hopkins School of Medicine. He was recruited to lead the University of Utah Laboratory in 2014. Mr. Hauer currently oversees a multi-university quality control consortium of similar laboratories. He has trained over 50 other laboratories world-wide and has extensive experience with all of the techniques proposed in this proposal, including successful use of each proposed antibody. He has overseen use of skin biopsy in many large multicenter clinical trials (including international trials). Under Mr. Hauer's leadership, the Cutaneous Nerve laboratory current provides similar research support to NIH funded investigators at other Universities. He will be responsible for oversight of all biopsy processing, interpretation/quantitation, and laboratory data management. He will fix, and process skin biopsies for light microscopic immunohistochemical analysis, and will provide all quantification of intraepidermal nerve fiber density and axonal mitochondrial number. He will coordinate confocal and EM imaging with the Cellular Imaging Core.

Study procedures. 25 women with breast cancer scheduled for paclitaxel chemotherapy will be recruited, and each will undergo baseline evaluation consisting of physical exam, *nerve conduction studies (NCS)* following a standardized protocol, and skin biopsy for assessment of IENFD using brightfield PGP9.5 immunohistochemistry (IHC). Fluorescent IHC using confocal microscopy will be used to image axonal and keratinocyte mitochondria. EM will be performed to directly visualize axonal mitochondria. A 20% attrition rate is anticipated. Therefore, 20 participants will undergo the same evaluation following chemotherapy (on average 3 months). 5 Patients with P-APS will undergo this evaluation at the time of P-APS development. Assuming there are axonal mitochondrial changes compared to baseline, 5 more participants without P-APS will be evaluated. In total there will be 55 biopsy and NCS evaluations. The imaging costs above include reagent and Cell Imaging Core costs.

Cost sharing

This project will be embedded in an ongoing IRB approved study of CIPN natural history and risk factors. The Division of Neuromuscular Medicine will provide matching funds to those raised by the ABF crowdfunding platform. We are therefore requesting a total of \$75,000 to support this project.

Stefan-M. Pulst, M.D., Dr. med
Professor and Chair
Stefan.Pulst@hsc.utah.edu

27 March 2017

A. Gordon Smith, M.D.
Professor of Neurology
Vice Chair for Research
Chief of Neuromuscular Medicine
University of Utah Health

Dear Dr. Smith:

I am delighted to write in support of your application to the American Brain Foundation requesting selection of your proposal to study mitochondrial structure in chemotherapy induced peripheral neuropathy (CIPN) for a crowdfunding philanthropic campaign. CIPN is a major cause for morbidity among cancer survivors. Your proposal is innovative, and builds on your ongoing project examining CIPN natural history and risk factors. Given your team's extensive experience in neuropathy clinical research I have no doubt regarding the project's successful completion. The Utah Cutaneous Nerve Laboratory is internationally recognized as a leader in the study of cutaneous innervation in peripheral neuropathy, and you will benefit from the substantial resources of the University of Utah Cell Imaging Core.

I can assure the review panel that you will continue to benefit from the resources necessary to carry out this proposal including laboratory space and administrative support.

I wish you the best of luck in your efforts to raise funds to support this exciting project.

Sincerely,



Stefan-M. Pulst, M.D., Dr. med.
Professor and Chair
Department of Neurology
University of Utah



INSTITUTIONAL REVIEW BOARD

THE UNIVERSITY OF UTAH

75 South 2000 East Salt Lake City, UT 84112 | 801.581.3655 | IRB@utah.edu

IRB: [IRB_00070295](#)

PI: [Noah Kolb](#)

Title: Predicting and Characterizing Chemotherapy Induced Peripheral Neuropathy

CR: CR_11/16/2016 2:41 PM

Date: 12/12/2016

Effective 12/12/2016, the above-referenced Continuing Review is approved to continue research procedures outlined in the University of Utah IRB-approved application and documents.

APPROVAL DOCUMENTATION

Review Type: Convened Board Review

Risk Level: Greater Than Minimal

Approval Date: 12/7/2016

Expiration Date: 12/6/2017 11:59 PM

APPROVED DOCUMENTS

Informed Consent Document

Consent Clean 2017 (no changes)

Company Protocol

protocol 2017 (no changes)

Other Documents

TissueBankManagementPlanCIPN.doc

ONGOING SUBMISSIONS FOR APPROVED PROJECTS

- **Continuing Review:** The research protocol must be re-reviewed and re-approved prior to the expiration date via the continuing review application: <http://irb.utah.edu/submit-application/reviews/index.php>
- **Amendment Applications:** All changes to the research application, protocol, or approved documents must be submitted and approved prior to initiation: <http://irb.utah.edu/submit-application/amendments.php>
- **Report Forms:** The research must adhere to the University of Utah IRB reporting requirements for unanticipated problems and deviations: <http://irb.utah.edu/submit-application/forms/index.php>
- **Final Project Reports for Study Closure:** The research application must be closed with the IRB once the research activities are complete: <http://irb.utah.edu/submit-application/final-project-reports.php>

Click [CR_00023919](#) to view the application and access the approved documents.

Please take a moment to complete our [customer service survey](#). We appreciate your opinions and feedback.

Dear Applicant:

In the increasingly complex world of scientific publication, concerns about commercial influence and other possible conflicts make it important for authors to disclose all potential sources of bias. Our system of reviewing conflicts of interest aligns with the policies of the American Academy of Neurology and allows donors to judge whether conflicts exist. Please complete this form, referring to the definitions in the beginning regarding commercial entities, compensation, expert witness, and "immediate family member." At first glance, this task may seem onerous, but will likely take less than 10 minutes.

What to expect: You will be asked whether you have disclosures relating to each question (check yes or no) and will be provided a field in which to list the disclosures. Filling out the forms on the next few screens will be easiest if you have a list of the following items regarding your activity (either commercial or non-profit) and that of any immediate family members during the period of your project. Disclosures are required for any dollar amount, except for gifts valued under \$1000. Names of commercial and non-profit entities are required along with specific roles, grant numbers for grants, and specific years. No dollar amounts need to be included. Please indicate complete names of sponsors or companies.

DEFINITIONS

Personal compensation:

Serving on a scientific advisory board

Gifts worth more than \$1000

Travel funded by a commercial entity

Serving as a journal editor, associate editor, or on an editorial advisory board

Patents held or pending

Royalties from publishing

Honoraria for speaking engagements

Corporate appointments or consultancies

Speakers' bureaus

Clinical, neurophysiology, or imaging studies in your practice and % effort devoted if the result of this paper will benefit your practice, affiliated unit, or a sponsor

Research support:

Commercial research support

Government research support (including funding organization, grant number, and role)

Academic research support not attributed in the manuscript

Support from a non-profit foundation or society

Stock options for serving on a Board of Directors

License fee payments

Royalty payments from technology or inventions

Stocks, stock options, and royalties

Stock options in a company in which you are (were) an investigator

Stock options in medical industry

Legal proceedings

Expert testimony for a legal proceeding on behalf of industry

Affidavit for a legal proceeding on behalf of industry

Witness or consultant for a legal proceeding on behalf of industry

Optional non-financial

Non-financial disclosures you wish to share

Definitions of Terms in Disclosure Agreement

Commercial entity: A for-profit business that manufactures, distributes, markets, sells, or advertises pharmaceutical or scientific products or medical devices.

Compensation: Anything of monetary value including a salary, honorarium, stipend, gift, or payment of travel-related expenses.

Expert witness: A person who has provided expert medical testimony during a trial or administrative hearing, in a deposition or an affidavit, or in any other type of legal proceeding.

"Immediate family member": Any person who would benefit financially from the publication of the manuscript because of their relationship to the author. This includes a member of an applicant's immediate family or anyone else who has a significant relationship with the applicant.

Please provide all financial relationships (and those of your "immediate family members") from the past two years regardless of whether these relationships are related to the project described in your application.

FINANCIAL DISCLOSURE

Personal Compensation from Commercial and Non-Profit Entities that benefits you directly or indirectly. Within the past two years (and during the course of the study under consideration if the study exceeded two years), I or one of my "immediate family members" received personal compensation for the following:

All compensation received during the past two years regardless of the relationship to your project must be disclosed; for the period exceeding two years, only compensation relevant to the topic of the study needs to be disclosed.

1. Serving on a scientific advisory board or data safety monitoring board. List specific disclosures in the following format: (1) Commercial or non-profit entity (2) Commercial or non-profit entity... If none, please say "None":

2. Gifts (other than travel or compensation for consulting or for educational efforts) worth more than USD \$1000. List specific disclosures in the following format: (1) Commercial or non-profit entity, brief description of gift, (2) Commercial or non-profit entity, brief description of gift... If none, please say "None":

3. Funding for travel or speaker honoraria to the individual from a commercial or non-profit entity not included in the study funding [Exclude CME activities and Grand Rounds]. List specific disclosures in the following format: (1) Commercial or non-profit entity, type of payment, (2) Commercial or non-profit entity, type of payment... If none, please say "None":

4. Serving as a journal editor, an associate editor, or editorial advisory board member. This may include a journal published by your national medical/scientific organization. Please include regardless of whether you receive compensation. List specific disclosures in the following format: (1) Full journal name, role, year(s), (2) Full journal name... If none, please say "None":

5. Patents issued or pending. List specific disclosures in the following format: (1) Brief description of invention/technology, (2) Brief description of invention/technology... If none, please say "None":

6. Publishing Royalties (do not include honoraria for occasional writing). List specific disclosures in the following format: (1) Full title of work, full name of publisher, year(s) of publication (or receipt of royalties), (2) Full title of work... If none, please say "None":

7. Employment. If you are currently employed by a commercial entity, please disclose below. In addition, if your past employment at a commercial entity is directly related to this manuscript, please disclose below. List specific disclosures in the following format: (1) Commercial entity, position, years (2) Commercial entity, position, years... If none, please say "None":

8. Consultancies. List specific disclosures in the following format: (1) Commercial or non-profit entity, (2) Commercial or non-profit entity... If none, please say "None":

9. Speakers' bureau. List specific disclosures in the following format: (1) Commercial or non-profit entity, (2) Commercial or non-profit entity... If none, please say "None":

10. Other activities not covered in designations above (if in doubt, provide full disclosure). List specific disclosures in the following format: (1) Commercial or non-profit entity, brief description of activity, (2) Commercial or non-profit entity... If none, please say "None":

11. Some studies have potential for financial gain for the project investigators or the sponsor. The following question seeks to provide transparency regarding any financial benefits to investigators or sponsors.

Do you perform clinical procedures or imaging studies in your practice or unit that overlap with the content of your proposed project, practice parameter, or clinical practice guideline and would your sponsor or this part of your practice or unit benefit if the conclusions were widely followed?

Note: This is the only item in this Agreement that applies to an interest that is related specifically to this particular study, practice parameter, or clinical practice guideline.

List specific disclosures in the following format: (1) Name of Practice or Research Unit, Clinical procedure/imaging study, % of effort (e.g. 35%), year(s), (2) Name of Practice or Research Unit, Clinical procedure/imaging study, % of effort (e.g., 35%)... If none, please say "None":

RESEARCH SUPPORT

Within the past two years and during the course of the study under consideration if the study exceeded two years, I or one of my "immediate family members" received financial or material research support or compensation from the following:

All support received during the past two years regardless of the relationship to the study must be disclosed; for the period exceeding two years, only support relevant to the topic of the study needs to be disclosed.

12. Commercial entities. List specific disclosures in the following format: (1) Commercial entity, (2) Commercial entity... If none, please say "None":

13. Government entities. List specific disclosures in the following format: (1) Sponsor/funding source, grant number(s), role, year(s), (2) Sponsor/funding source... If none, please say "None":

14. Academic entities other than those attributed in the manuscript. List specific disclosures in the following format: (1) Academic entity, (2) Academic entity... If none, please say "None":

15. Foundations or societies (include grant number if required by funding agency). List specific disclosures in the following format: (1) Full name of Foundation or Society, (2) Full name of Foundation or Society... If none, please say "None":

STOCK, STOCK OPTIONS & ROYALTIES

In the past two years and during the course of the study under consideration if the study exceeded two years, I or one of my "immediate family members":

All revenues during the past two years regardless of the relationship to the study must be disclosed; for the period exceeding two years, only revenues relevant to the topic of the study needs to be disclosed.

16. Stock or stock options or expense compensation for serving on a board of directors. List disclosures in the following format: (1) Commercial entity, (2) Commercial entity... If none, please say "None":

17. License fee payments. List specific disclosures in the following format: (1) Invention/technology, source of payment, (2) Invention/technology... If none, please say "None":

18. Royalty payments or have contractual rights for receipt of future royalty payments from technology or inventions (this does not include royalties from publishing). List specific disclosures in the following format: (1) Technology/invention, source of payment, year(s), (2) Technology/invention... If none, please say "None":

19. Stock or stock options in a commercial entity sponsoring research with which the author or "immediate family member" was involved as an investigator (Excludes investments in mutual funds held by the author or dependents). List specific disclosures in the following format: (1) Company, year(s), (2) Company, year... If none, please say "None":

20. Stock or stock options in a commercial entity whose medical equipment or other materials related to the practice of medicine. (Exclude investments in mutual funds held by the author or dependents). List specific disclosures in the following format: (1) Company, year(s), (2) Company, year... If none, please say "None":

LEGAL PROCEEDINGS

In the past two years and during the course of the study under consideration if the study exceeded two years, I or one of my "immediate family members" have (whether or not it pertains to the topic of the current study):

All compensation received during the past two years regardless of the relationship to the study must be disclosed; for the period exceeding two years, only compensation relevant to the topic of the study needs to be disclosed.

21. Given expert testimony, acted as a witness or consultant, or prepared an affidavit for any legal proceeding involving a commercial entity (do not include proceedings for individual patients). You may specify role, e.g., 'expert witness for plaintiff' if desired. (Include year only if activity is directly related to the present study.)

List specific disclosures in the following format: (1) Commercial entity, activity, year(s), (2) Commercial entity, activity, year(s)... If none, please say "None":

OPTIONAL: NONFINANCIAL DISCLOSURE

22. I have chosen to declare one or more non-financial competing interests (e.g., special interest groups you represent or others that may be affected if your paper is published or that could be perceived as biasing the study; the corresponding author should be aware of conflicts of interest that Co-investigators or Contributors may have). Non-financial disclosures will not be published.

List specific disclosures, if none, please say "None":

I have completed this Disclosure Statement fully and to the best of my ability. I understand that all Applicants must complete this Disclosure Statement and that the information disclosed may be published if their project is accepted for crowdfunding.

By my electronic signature, I verify the completeness and accuracy of the contents of this form.

Click in the box above to add your electronic signature

Date 03/22/17

Please refer to the NIH formatted Other Support document below. I have no other conflicts of interest or disclosures.

For New and Renewal Applications (PHS 398) – DO NOT SUBMIT UNLESS REQUESTED
For Non-competing Progress Reports (PHS 2590) – Submit only Active Support for Key Personnel

PHS 398/2590 OTHER SUPPORT

Provide active support for all key personnel. **Other Support includes all financial resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual's research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards.** Training awards, prizes, or gifts do not need to be included.

SMITH, A.G.
ACTIVE

| | | |
|--|-----------------------|--------------|
| <u>1U10NS077305-01 (Smith)</u> | 09/01/2011-06/30/2018 | 1.2 calendar |
| NIH/NINDS | \$1,400,000 | |
| <i>The Utah Regional Center for Excellence in Neuroscience Clinical Trials (UR-NEXT)</i> | | |

UR-NEXT will provide an ideal mechanism ideal mechanism for coordinating recruitment and access throughout a 5 state region in the Intermountain West. The coordinated efforts of a leadership team with a track record of collaboration and experience in both pediatric and adult clinical studies and trials and an experienced clinical trials manager will ensure the performance of high quality neuroscience trials across a broad range of diseases.

Role: PI

| | | |
|---|-----------------------|--------------|
| <u>Impeto-Medical, Inc. (Smith)</u> | 01/01/2014-01/31/2018 | 0.6 calendar |
| <i>Chemotherapy Induced Peripheral Neuropathy</i> | \$365,760 | |

The major goals of this project are to determine if Sudoscan can be used in a screening paradigm to predict CIPN risk or identify patients with subclinical CIPN early in their course of chemotherapy and include development of Sudoscan as a potential endpoint for future clinical trials and use of CIPN as a disease model to establish the clinical meaning of decline in Sudoscan.

Role: PI

| | | |
|--|-----------------------|--------------|
| <u>R01DK064814-09 (Smith/Singleton)</u> | 04/01/2015-03/31/2020 | 1.8 calendar |
| NIH/ NIDDK | \$2,446,785 | |
| <i>Activity for the Diabetic Polyneuropathy: The ADAPT Study</i> | | |

The major goals of this project are to evaluate the efficacy of a lifestyle intervention that integrates moderate supervised exercise and actigraphy based counseling to reduced sedentary behavior on diabetic peripheral neuropathy with a focus on patient relevant outcomes and quality of life and validation of biomarkers including intraepidermal nerve fiber density (IEFND).

Role: Co-PI

| | | |
|---|-----------------------|--------------|
| <u>DP3 DK104394 (Smith/Singleton)</u> | 09/30/2014-08/31/2017 | 3.6 calendar |
| NIH/NIDDK | \$999,141 | |
| <i>Developing Corneal Confocal Microscopy as a Screening Tool and Biomarker for Diabetic Neuropathy</i> | | |

The major goal of this project is to develop corneal confocal microscopy (CCM) as a screening tool that can be used to identify patients with, or at high risk for, early neuropathy.

Role: Co-PI

SMITH, A.G.

PENDING

U01NS095388 (Smith)

12/01/2016-11/30/2020

1.2 calendar

NIH/NINDS

\$7,563,257

Topiramate as a Disease Altering Therapy for Cryptogenic Sensory Peripheral Neuropathy (The TopCSPN Study)

Double blind randomized controlled trial of topiramate for CSPN associated with obesity and metabolic syndrome using the NeuroNEXT clinical trials network.

Role: PI

OVERLAP:

None

A. Gordon Smith, M.D., FAAN
University of Utah
School of Medicine
30 N 1900 E, 3R 242A
Salt Lake City, UT 84132
801-581-8960 (phone)
801-585-2054 (fax)
gordon.smith@hsc.utah.edu



PERSONAL DATA

Birth Place: Richmond, Virginia
Citizenship: United States
Languages: English

EDUCATION

| <u>Years</u> | <u>Degree</u> | <u>Institution (Area of Study)</u> |
|--------------|---------------|--|
| 1984 - 1988 | B.A. | University of Virginia (Archeology) Charlottesville, VA |
| 1988 - 1992 | M.D. | Mayo Medical School (Medicine) Rochester, MN |
| 1992 - 1993 | Intern | University of Michigan (Internal Medicine) Ann Arbor, MI |
| 1993 - 1996 | Resident | University of Michigan (Neurology) Ann Arbor, MI |
| 1996 - 1997 | Fellow | University of Michigan (Neuromuscular Fellowship) Ann Arbor, MI |
| 1997 | | Johns Hopkins University (Cutaneous Innervation) Baltimore, MD |
| 2004 | | University of Utah Hospital School of Medicine (Physician Executive Course) Salt Lake City, UT |

BOARD CERTIFICATIONS

| | |
|----------------------------|---|
| 05/05/1997 - 12/31/2017 | American Board of Psychiatry & Neurology (Neurology), Certified [Recertified 04/16/2007] |
| 04/04/1998 - 12/31/2018 | American Board of Electrodiagnostic Medicine, Certified [Recertified 12/31/2008] |
| 04/13/1999 - 12/31/2009 | American Board of Psychiatry & Neurology (Sub: Clinical Neurophysiology), Certified |
| 08/17/2011 - | American Board of Psychiatry & Neurology (Sub: Neuromuscular |

Smith, Page 1

Present Medicine), Certified

LICENSES/CERTIFICATIONS

| | |
|-------------|--|
| 1999 - 2018 | Controlled Substance (UT) - Physician (MD) |
| 1999 - 2018 | State License (UT) - Physician (MD) |
| 2006 - 2018 | DEA Certificate (UT) - Physician (MD) |

CLINICAL AREAS OF SPECIALIZATION

ALS, Botulinum Toxins, EMG, Neurology, Neuromuscular Diseases, Neuropathy, Hemifacial Spasm, Neuromuscular Pathology, Spinal Muscular Atrophy, Botulism

RESEARCH INTERESTS

Peripheral Nervous System Diseases, Obesity, Diabetes, Cutaneous Innervation, Clinical Neurophysiology (EMG), Lambert-Eaton Myasthenic Syndrome

PROFESSIONAL EXPERIENCE

Full-Time Positions

| | |
|----------------|---|
| 1997 - Present | Staff Neurologist, VA Hospital, Salt Lake City, UT |
| 1997 - 2003 | Assistant Professor (Clinical) of Neurology, University of Utah School of Medicine, Salt Lake City, UT Responsibilities: Subspecialty in neuromuscular disease and EMG |
| 1998 - Present | Director, University of Utah Department of Neurology, Peripheral Neuropathy Clinic, Salt Lake City, UT |
| 1998 - Present | Director, University of Utah, Cutaneous Innervation Laboratory, Salt Lake City, UT |
| 2000 - Present | Director, Therapeutic Botulinum Toxin Clinic, |
| 2000 - Present | Adjunct Assistant Professor of Pathology, University of Utah, Salt Lake City, UT |
| 2004 - 2012 | Associate Professor (Clinical) of Neurology, University of Utah School of Medicine, Salt Lake City, UT Responsibilities: Subspecialty in neuromuscular disease and EMG |
| 2008 - 2012 | Associate Professor, University of Utah School of Medicine, Salt Lake City, UT |
| 2010 - Present | Associate Professor of Anesthesiology (Clinical), University of Utah, Salt Lake City, UT |
| 2012 - Present | Professor of Neurology (Tenure), University of Utah, Salt Lake City, UT |
| 2013 - Present | Vice Chair for Research, University of Utah, Salt Lake City, UT |

Editorial Experience

| | |
|------|---|
| 2005 | Editor for The Handbook of Peripheral Neuropathy. Taylor and Francis, New York. |
| 2006 | Editor for Journal of the Neurological Sciences, Special Issue 2006; 242 (1) |

Smith, Page 2

| | |
|----------------|--|
| 2007 - 2011 | Editorial Board for Journal of the Peripheral Nervous System (Advisory) |
| 2010 - 2012 | Associate Editor for Education, AAN.com |
| 2011 - Present | Editorial Board for Journal of the Peripheral Nervous System |
| 2012 | Editor for Seminars of Neurology, Neuromuscular Medicine from Bench to Bedside |
| 2014 - Present | Editor in Chief for NeuroLearn (AAN Online Learning Program) |
| 2014 - Present | Editorial Board for Annals of Clinical and Translational Science |
| 2016 | Editor for Journal of Delivery Science and Innovation |

Reviewer Experience

Reviewer for Journal of Delivery Science and Innovation
 Abstract Reviewer for American Neurological Association
 Experimental Neurology
 Expert Opinion on Drug Metabolism and Toxicology
 Journal of Neuroscience Methods
 Reviewer for Acta Diabetologica
 Reviewer for Archives of Internal Medicine
 Reviewer for BMJ Open
 Reviewer for Brain and Behavior
 Reviewer for British Medical Journal
 Reviewer for Clinical Endocrinology and Metabolics
 Reviewer for Clinical Therapeutics
 Reviewer for Clinical Therapeutics
 Reviewer for Cytokine
 Reviewer for Diabetic Medicine
 Reviewer for Expert Opinion on Investigational Drugs
 Reviewer for Gerontology
 Reviewer for International Journal for Vitamin and Nutrition Research
 Reviewer for International Journal of Endocrinology
 Reviewer for International Journal of Obesity
 Reviewer for Journal of Applied Physiology, Nutrition, and Metabolism
 Reviewer for Journal of Biomedical Materials Research: Part A
 Reviewer for Journal of Diabetes
 Reviewer for Journal of Diabetes Metabolism Research and Reviews
 Reviewer for Journal of Diabetes Research
 Reviewer for Journal of Diabetes and its Complications
 Reviewer for Journal of Immunology Research
 Reviewer for Journal of Neuroinflammation
 Reviewer for Journal of Pain & Palliative Care Pharmacotherapy
 Reviewer for Journal of Pain
 Reviewer for Journal of the Peripheral Nervous System
 Reviewer for Lipids in Health and Disease
 Reviewer for Neuro-oncology
 Reviewer for Neuroscience Letters

Reviewer for PLOS 1
 Reviewer for Physical Medicine and Rehab
 Reviewer for Therapeutic Advances in Endocrinology and Metabolism
 Reviewer for American Journal of Managed Care
 Reviewer for Annals of Neurology
 Reviewer for British Journal of Nutrition
 Reviewer for Clinical Journal of Pain
 Reviewer for Cochrane Collaboration
 Reviewer for Experimental Neurology
 Reviewer for Journal of Neurology Neurosurgery and Psychiatry
 Reviewer for Journal of the American Medical Association
 Reviewer for Journal of the Neurological Sciences
 Reviewer for Journal of the Royal Society Interface
 Reviewer for Muscle and Nerve
 Reviewer for Neurobiology of Disease
 Reviewer for Neurology
 Reviewer for New England Journal of Medicine
 Reviewer for Sleep

SCHOLASTIC HONORS

| | |
|-------------|---|
| 1985 - 1988 | Echols Scholar, University of Virginia |
| 1988 | Phi Beta Kappa, University of Virginia |
| 1988 | Dean of Faculty Alumni Scholarship, University of Virginia |
| 1988 | Magna Cum Laude, University of Virginia |
| 1988 - 1992 | Ruth A. Masson Scholar and Dean's Grant recipient, Mayo Medical School |
| 2005 | American Academy of Neuromuscular Disease and Electrodiagnostic Medicine Presidential Award |

ADMINISTRATIVE EXPERIENCE

Administrative Duties

| | |
|----------------|---|
| 1998 - Present | Director, Cutaneous Innervation Laboratory. |
| 1998 - Present | Director, University of Utah Peripheral Neuropathy Clinic. |
| 2000 - Present | Director, Therapeutic Botulinum Toxin Clinic. |
| 2006 - Present | Director, Peripheral Neuropathy Association Center of Excellence |
| 2011 - Present | Director, Division of Neuromuscular Medicine |
| 2011 - Present | Director, University of Utah Electrodiagnostic Laboratory |
| 2011 - 2014 | Co-Director, Neurology Clinical Trials Unit |
| 2013 - Present | Neurology Department Academic Advisory Committee |
| 2013 - Present | Vice-Chair for Research, Department of Neurology |
| 2014 - Present | Chair, Neurodegeneration Pillar Steering Committee, University of Utah Neurosciences Initiative |
| 2014 - Present | Member, Episodic Brain Dysfunction Pillar Steering Committee |
| 2014 - Present | University of Utah Neuroscience Initiative Scientific Advisory Board |

Professional Organization & Scientific Activities

| | |
|----------------|---|
| 1990 - 1991 | Member, American Medical Association, State Governing Council |
| 1990 - 1991 | Member, Minnesota Medical Association, Legislation Committee |
| 1990 - 1991 | Delegate, American Medical Association, National Convention |
| 1990 - 1991 | Treasurer, American Medical Association, local chapter |
| 2000 - 2003 | Member, American Association of Electrodiagnostic Medicine, Young Physician Task Force |
| 2003 - 2007 | Member, American Association of Electrodiagnostic Medicine, Alternative Media Committee |
| 2005 - 2009 | Member, American Academy of Neurology, Annual Meeting Subcommittee with the Education Committee |
| 2006 - Present | Abstract Reviewer, American Neurological Association, Abstract Reviewer |
| 2006 - 2010 | Oral Board Examiner, American Board of Electrodiagnostic Medicine |
| 2006 - 2008 | Chair, American Academy of Neurology, Topic Work Group for Cognitive Disorders |
| 2006 | Member, National Institutes of Health, Consensus Conference on Peripheral Neuropathy |
| 2007 - 2011 | Elected Board Member, Peripheral Nerve Society |
| 2007 - 2011 | Member, Peripheral Nerve Society, Finance Committee |
| 2008 - 2011 | Chair, American Academy of Neurology, Topic Work Group on Neuromuscular Disease and Clinical Neurophysiology |
| 2009 - Present | Committee Member, American Academy of Neurology, Education Committee |
| 2009 - 2011 | Member, American Academy of Neurology, Learning Across the Lifetime Taskforce |
| 2009 | Member, European Association for the Study of Diabetes, ISDN/Neurodiab, Consensus Conference on Diagnosis of Peripheral Neuropathy, Marker Structure Subgroup |
| 2010 - 2011 | Member, American Academy of Neurology, Web Work Group |
| 2011 - Present | Abstract Reviewer, American Academy of Neurology, Annual Meeting-Peripheral Nerve |
| 2011 - 2015 | Chair, American Academy of Neurology, Distance Learning Subcommittee |
| 2011 - 2014 | Chair, American Academy of Neurology - Neuromuscular Section, Education Work Group |
| 2011 - Present | Member, American Neurological Association, Finance Committee |
| 2012 - 2014 | Member, American Academy of Neurology, Web Redesign Work Group (WRWG) |
| 2012 | Member, National Institute of Neurological Disorders and Stroke, Neuro NEXT Protocol Working Group 02012012 |
| 2012 | Member, American Academy of Neurology, Topic Work Group on Child Neurology |
| 2012 - Present | Member, American Academy of Neurology, Topic Work Group on Neuromuscular Disease and Neurophysiology |
| 2012 - Present | Chair, American Academy of Neurology, Topic Work Group on Neuro- |

Smith, Page 5

| | |
|----------------|---|
| | ophthalmology and Neuro-otology |
| 2012 - 2014 | Member, American Academy of Neurology, Navigating Health Care Reform Task Force |
| 2013 - Present | Member, American Academy of Neurology, Membership Subcommittee |
| 2014 - Present | Member, American Diabetes Association, Program Committee |
| 2015 - Present | Member, American Academy of Neurology, Conference Subcommittee (ex officio) |
| 2015 - Present | Board of Directors, American Academy of Neurology |
| 2015 - Present | Chair, American Academy of Neurology, Education Committee |
| 2015 - Present | Member, American Academy of Neurology, Meeting Management Committee |
| 2016 - Present | Member, American Academy of Neurology, Nominations Committee |

Grant Review Committee/Study Section

| | |
|-------------|--|
| 2004 | Neuroscience Foundation of New Zealand (Ad Hoc) |
| 2006 - 2014 | American Diabetes Association |
| 2008 | Juvenile Diabetes Research Foundation |
| 2009 | Diabetes UK (Ad Hoc) |
| 2009 | NIH ETTN (Ad Hoc) |
| 2009 | External Reviewer, University of Michigan MDRTC Pilot and Feasibility Grants |
| 2011 | ARG1 MOSS-D12 (SBIR) |
| 2011 | ZDK1 GRB-2 (O3) Epidemiology of Diabetes |
| 2011 | NSD-K (NINDS Clinical Trials) |
| 2012 | Rehabilitation Research and Development (RR&D) |
| 2012 | ZDK1 GRB-2 (O4) 1 |
| 2014 | ZNS1 SRB-G (78) NINDS Clinical Trials |
| 2014 | ZDK1 GRB-9(J2) NIDDK Small grants to support diversity (R03) |
| 2014 | Reviewer, Michigan Diabetes Interdisciplinary Study Program, Pilot and Feasibility Grants. |
| 2015 | Neuroscience Initiative Seed Grant Review Committee |
| 2015 | Princess Beatrix Muscle Fund (Netherlands) |
| 2016 | Longer Life Foundation Pilot Feasibility Study |
| 2016 | ZNSI-SRB G (07) - Clinical Trial Readiness for Rare Neurological Disease |

Symposium/Meeting Chair/Coordinator

| | |
|------|---|
| 2006 | Session Co-Chair, Genetic Neuropathies, 2006 American Academy of Neurology |
| 2007 | Chair, Local Organization Committee. Peripheral Nerve Society Meeting |
| 2009 | Session Co-Chair, Diabetic and Metabolic Neuropathies. Peripheral Nerve Society Meeting, Wurzburg Germany |
| 2009 | Moderator, Diabetic Neuropathy Case Conference, Peripheral Nerve Society Meeting, Wurzburg Germany |

| | |
|------|--|
| 2010 | Small vs. Large Fiber Debate, Neurodiab, Stockholm Sweden |
| 2011 | Session Moderator, Peripheral Nerve: Clinical and Basic Science Poster Session, American Academy of Neurology, Honolulu Hawaii |
| 2011 | Moderator, Diabetic Neuropathy Clinical Poster Tour. Peripheral Nerve Society. Washington D.C. |
| 2012 | Session co-chair, Neuropathy Posters, American Academy of Neurology Annual Meeting, New Orleans, LA |
| 2012 | Session co-chair, Poster walking tour. Muscle Study Group. Beaver Hollow New York |
| 2013 | Session Chair, Plenary Lecture, Peripheral Nerve Society, St. Malo France |
| 2014 | Session co-chair, Peripheral Neuropathy Poster Walking Tour, American Academy of Neurology Annual Meeting, Philadelphia PA |
| 2014 | Session Chair: The Conundrum of Diabetic Neuropathy. American Diabetes Association Annual Meeting. San Francisco, CA |
| 2015 | Session Chair, American Diabetes Association Peripheral Neuropathy Session, Boston Massachusetts |

PROFESSIONAL COMMUNITY ACTIVITIES

| | |
|----------------|---|
| 2011 - 2013 | Chair, Baxter, Bioscience Data Monitoring Committee, Protocol 160604 |
| 2013 - Present | Board of Trustees, American Brain Foundation, Scientific Advisory Board |
| 2013 - Present | Member, American Brain Foundation, Board of Trustees |
| 2015 - Present | Chair, Celgene Corporation, Celgene CCT-PDA-001-DPN-001 Data Monitoring Board |
| 2015 - Present | Secretary, American Brain Foundation |
| 2015 - Present | Member, American Brain Foundation, Strategic Planning Committee |
| 2015 - Present | Member, Foundation for Peripheral Neuropathy |
| 2015 - Present | Member, Foundation for Peripheral Neuropathy, Scientific Advisory Board |

UNIVERSITY COMMUNITY ACTIVITIES

University Level

| | |
|------|--|
| 2008 | Member, Search Committee, Physical Therapy Department Search Committee |
|------|--|

Health Sciences Level

| | |
|----------------|--|
| 2010 - 2013 | Active Member, University of Utah Medical Group, Finance Committee |
| 2010 | Ad Hoc Reviewer, Center for Clinical Translational Science, CCTS K12 Grant |
| 2014 - Present | Member, Health Sciences Center, Neuroscience Initiative, Scientific Advisory Board |

University Hospitals & Clinics

| | |
|----------------|--|
| 2009 - Present | Active Member, Pharmacy and Therapeutics Committee |
| 2013 - Present | Member, University of Utah Hospitals and Clinics, Academic Advisory Committee |
| 2015 - Present | Chair, University of Utah Hospitals and Clinics, Epilepsy Center Director Search Committee |

Department Level

| | |
|----------------|---|
| 1997 - 2004 | Member, Neurology, Resident Education Committee |
| 1997 - Present | Member, Neurology, Resident Selection Committee |
| 2008 | Member, Neurology, Workgroup on Bridge Funding Policy |

Programs, Centers & Institutes

| | |
|----------------|---|
| 2012 - Present | Member, Clinical Neurosciences Center, Leadership Team |
| 2013 - Present | Executive Committee Member, Clinical Neurosciences Center |

SERVICE AT AFFILIATED INSTITUTIONS

| | |
|-------------|--|
| 1997 - 2011 | Medical Staff, Veterans Administration Medical Center, Neurology |
|-------------|--|

CONSULTING

| | |
|-------------|---|
| 2011 | Chair, Pfizer Inc., Advisory board on Tafamadis |
| 2012 | Advisory Board Member, Pfizer Inc., Mock FDA Advisory Board for Tafamadis |
| 2012 | Consultant, ViroMed Laboratories, Diagnosis of diabetic neuropathy: the MNSI in VM202 |
| 2012 - 2014 | Consultant, ViroMed Laboratories, Skin biopsy as an endpoint measure in diabetic neuropathy trials and in VM202 |

MEMBERSHIPS IN PROFESSIONAL SOCIETIES

| | |
|----------------|--|
| 1993 - Present | Member, American Academy of Neurology |
| 1996 - Present | Member, American Association of Electrodiagnostic Medicine |
| 1997 - Present | Member, Peripheral Nerve Society |
| 2006 - Present | Member, American Neurological Association |

FUNDING

Active Grants

| | |
|------------|--|
| 12/01/16 - | Topiramate as a disease altering therapy for cryptogenic sensory peripheral neuropathy (CSPN): The TopCSPN Study |
| 11/30/20 | 1U01NS095388-01 |
| | Principal Investigator: A. Gordon Smith |
| | Direct Costs: \$5,811,767 Total Costs: \$7,630,254 |
| | National Institute of Neurological Disorders and Stroke |
| | Role: Principal Investigator |
| 09/01/11 - | The Utah Regional Center for Excellence in Neuroscience Clinical |

| | |
|------------------------|--|
| 06/30/18 | <p>Trails (The UR-NEXT) 5U10NS077305-03 Principal Investigator: A. Gordon Smith Direct Costs: \$1,400,000 Total Costs: \$2,107,000 National Institute of Neurological Disorders and Stroke Role: <u>Principal Investigator</u></p> |
| 10/25/12 - 02/28/16 | <p>International Guillain Barré Outcome Study Principal Investigator(s): Noah Kolb; A. Gordon Smith Direct Costs: \$20,347 Total Costs: \$27,000 GBS/CIDP Foundation International Role: <u>Principal Investigator</u></p> |
| 09/30/13 - 08/31/18 | <p>UT StrokeNet 1U10NS086606-01 Direct Costs: \$1,250,000 Total Costs: \$1,833,100 National Institute of Neurological Disorders and Stroke Role: <u>Co-Investigator</u></p> |
| 02/25/14 - 12/31/16 | <p>Sudoscan as a Biomarker for Chemotherapy Induced Peripheral Neuropathy Principal Investigator: A. Gordon Smith Direct Costs: \$365,760 Total Costs: \$485,364 Impeto Medical Sas Role: <u>Principal Investigator</u></p> |
| 07/01/14 - 03/31/17 | <p>Patient Centered Outcomes Research Institute (PCORI) Pain-Controls Direct Costs: \$35,500 Total Costs: \$39,050 University of Kansas Role: <u>Site Investigator</u></p> |
| 09/01/14 - 08/31/17 | <p>Developing Corneal Confocal Microscopy as a Screening Tool and Biomarker for Diabetic Neuropathy 1DP3DK104394-01 Principal Investigator(s): J. Robinson Singleton; A. Gordon Smith Direct Costs: \$987,594 Total Costs: \$1,442,115 NIH National Institute of Diabetes and Digestive and Kidney Diseases (NIDDK) Role: <u>Principal Investigator</u></p> |
| 10/01/14 - 09/30/18 | <p>NN103-Rituximab In MG Direct Costs: \$68,677 Total Costs: \$99,841 National Institute of Neurological Disorders and Stroke (NINDS) Role: <u>Site Investigator</u></p> |
| 01/01/15 - 12/31/20 | <p>Peripheral Neuropathy Research Registry (PNRR) Principal Investigator: A. Gordon Smith Direct Costs: \$77,500 Total Costs: \$83,700 Foundation for Peripheral Neuropathy Role: <u>Principal Investigator</u></p> |
| 04/01/15 - 03/31/20 | <p>Activity for Diabetic Polyneuropathy: The ADAPT Study 2R01DK064814-09A1 Principal Investigator(s): J. Robinson Singleton; A. Gordon Smith Direct Costs: \$2,388,109 Total Costs: \$3,208,760 NIH National Institute of Diabetes and Digestive and Kidney</p> |

Smith, Page 9

Diseases (NIDDK)
 Role: Principal Investigator
 08/07/15 - ADAPT-Sudoscan
 11/30/19 Principal Investigator: A. Gordon Smith
 Direct Costs: \$14,580 Total Costs: \$19,348
 Impeto Medical Sas
 Role: Principal Investigator
 05/01/16 - Vmdn-003
 06/30/18 Principal Investigator(s): J. Robinson Singleton; A. Gordon Smith
 Direct Costs: \$214,800 Total Costs: \$285,040
 Viomed Co Ltd DbA Vm Biopharma
 Role: Principal Investigator
 07/01/16 - The Utah Regional Network Of Excellence CI
 06/30/17 Principal Investigator: A. Gordon Smith
 Direct Costs: \$100,000 Total Costs: \$149,000
 National Institute of Neurological Disorders and Stroke (NINDS)
 Role: Principal Investigator

Pending Grants

09/01/16 - Nn105 Huntington's Disease
 08/31/18 Principal Investigator: A. Gordon Smith
 Massachusetts General Hospital
 Role: Principal Investigator
 09/01/17 - A Phase 1/2 Trial of Gene Transfer to Prevent CIPN
 08/31/21 R01CA203848
 Principal Investigator: David Fink
 National Cancer Institute
 Role: Co-Investigator

Past Grants

01/01/99 - Fellowship Award, Clinical Mentor for Dr. Victoria Lawson, MD.
 01/01/00 Principal Investigator: A. Gordon Smith
 Charcot-Marie-Tooth Association
 Role: Co-Principal Investigator
 08/01/01 - The Electrophysiology of Motor Neuron Diseases.
 07/31/04 Principal Investigator: Mark B. Bromberg
 Direct Costs: \$200,000 Total Costs: \$283,500
 National Institute of Neurological Disorders and Stroke
 Role: Co-Investigator
 09/01/01 - The Use of Intraepidermal Nerve Fiber Density Measurement as a
 07/31/02 Research Tool in Peripheral Neuropathy.
 Principal Investigator: A. Gordon Smith
 Direct Costs: \$31,988 Total Costs: \$31,988
 University of Utah Research Foundation
 Role: Principal Investigator

| | |
|------------------------|---|
| 08/01/02 - 07/30/06 | <p>Impaired Glucose Tolerance Causing Neuropathy. R01 NS40458 Principal Investigator: J. Robinson Singleton Direct Costs: \$1,076,525 Total Costs: \$1,311,550 National Institute of Neurological Disorders and Stroke Role: <u>Co-Investigator</u></p> |
| 04/01/04 - 02/28/08 | <p>Cutaneous Measures of Diabetic Neuropathy R01DK064814 Principal Investigator: A. Gordon Smith Direct Costs: \$942,112 Total Costs: \$1,401,831 National Institute of Diabetes and Digestive and Kidney Diseases Role: <u>Principal Investigator</u></p> |
| 01/01/07 - 12/31/08 | <p>Metabolic Syndrome and Reinnervation Principal Investigator: A. Gordon Smith Direct Costs: \$35,000 Total Costs: \$35,000 University of Utah Research Foundation Role: <u>Principal Investigator</u></p> |
| 04/15/07 - 03/31/08 | <p>2007 International Peripheral Nerve Society Meeting at Snowbird, Utah 1R13NS059289-01 Principal Investigator: A. Gordon Smith Direct Costs: \$28,000 Total Costs: \$28,000 National Institute of Neurological Disorders and Stroke Role: <u>Principal Investigator</u></p> |
| 09/16/08 - 07/31/13 | <p>The Utah Diabetic Neuropathy Study 2R01DK064814-05 Principal Investigator: A. Gordon Smith Direct Costs: \$1,274,059 Total Costs: \$2,108,701 National Institute of Diabetes and Digestive and Kidney Diseases Role: <u>Principal Investigator</u></p> |
| 01/01/09 - 12/31/11 | <p>Peripheral Neuropathy and Metabolic Syndrome: A Lifestyle Intervention Study Principal Investigator: A. Gordon Smith Direct Costs: \$511,983 Total Costs: \$588,780 American Diabetes Association Role: <u>Principal Investigator</u></p> |
| 09/01/09 - 08/31/11 | <p>Chemotherapy Induced Peripheral Neuropathy in Multiple Myeloma Patients Receiving Total Therapy 3 Principal Investigator(s): J. Robinson Singleton; A. Gordon Smith University of Utah Neurodegenerative Disease Center Role: <u>Principal Investigator</u></p> |
| 05/04/10 - 04/30/11 | <p>ARRA Administrative Supplement to Fund Additional Recruitment and Retention Efforts for the UDNS Principal Investigator(s): J. Robinson Singleton; A. Gordon Smith National Institute of Diabetes and Digestive and Kidney Diseases Role: <u>Principal Investigator</u></p> |
| 03/01/11 - 09/22/11 | <p>Corneal Confocal Microscopy as a Research Tool in Peripheral Neuropathy (Research Instrumentation Fund) Principal Investigator: A. Gordon Smith Direct Costs: \$45,000 Total Costs: \$45,000</p> |

| | |
|------------------------|--|
| | University of Utah Vice President for Research Role: <u>Principal Investigator</u> |
| 07/01/11 - 06/30/14 | The effect of bariatric surgery on peripheral nerve and axonal regeneration (7-11-AEC-23) Principal Investigator: A. Gordon Smith Direct Costs: \$521,123 Total Costs: \$599,292 American Diabetes Association Role: <u>Principal Investigator</u> |
| 09/01/11 - 01/31/12 | Neurogesx, Inc. Principal Investigator: A. Gordon Smith Direct Costs: \$19,894 Total Costs: \$26,399 NeurogesX, Inc. Role: <u>Principal Investigator</u> |
| 09/01/11 - 08/31/12 | Corneal Confocal Microscopy as a Clinical and Research Tool in Peripheral Neuropathy (Funding Incentive Seed Grant) Principal Investigator: A. Gordon Smith Direct Costs: \$35,000 Total Costs: \$35,000 University of Utah Funding Incentive Seed Grant Role: <u>Principal Investigator</u> |
| 01/01/12 - 06/30/15 | Sudoscan As a Diagnostic and Research Tool for Peripheral Neuropathy Principal Investigator: A. Gordon Smith Direct Costs: \$204,490 Total Costs: \$271,358 Inflexion Point Strategy, LLC Role: <u>Principal Investigator</u> |
| 05/01/13 - 04/30/14 | Personalized Medicine in Peripheral Neuropathy Principal Investigator: A. Gordon Smith Direct Costs: \$30,000 Total Costs: \$30,000 R Harold Burton Foundation Role: <u>Principal Investigator</u> |

Active Contracts

| | |
|------------------------|---|
| 09/01/11 - 06/30/14 | 3,4 DAPPER: randomized placebo controlled trial of 3,4 diaminopyridine for Lambert Eaton myasthenic syndrome Principal Investigator: A. Gordon Smith Direct Costs: \$167,527 Total Costs: \$222,308 Jacobus Pharmaceutical Company, Inc. Role: <u>Principal Investigator</u> |
| 08/01/13 - 07/01/15 | MYSTICOL - Myobloc for Sialorrhea Treatment with Intraglandular Injection - Controlled and Open Label: A Phase 3, Multicenter, Double-Blind, Placebo-Controlled, Single-Treatment Efficacy and Safety Study of MYOBLOC (SN-SIAL-301) Principal Investigator: A. Gordon Smith Direct Costs: \$242,100 Total Costs: \$321,267 US World Med |

Role: Principal Investigator

Past Contracts

07/30/98 - 04/15/01 A 24-Month, Double-Blinded, Randomized, Placebo-Controlled, Fixed-Dose, Parallel-Group, Multicenter Study of Zenarestat (CI-1014) in the Treatment of diabetic Neuropathy.
Principal Investigator: Mark B. Bromberg
Direct Costs: \$250,980 Total Costs: \$320,000
Warner-Lambert/Parke-Davis
Role: Co-Investigator

10/08/99 - 05/31/01 Magnetic Biostimulation in Painful Diabetic Peripheral Neuropathy.
Principal Investigator: Mark B. Bromberg
Direct Costs: \$35,000 Total Costs: \$35,000
Michael I. Weintraub, MD
Role: Co-Investigator

04/12/00 - 09/30/02 Safety & Efficacy of ABT-594 to Placebo for Patients with Painful Diabetic Polyneuropathy.
Principal Investigator: Mark B. Bromberg
Direct Costs: \$70,736 Total Costs: \$87,936
Abbott Laboratories
Role: Co-Investigator

07/01/03 - 06/30/05 A Randomized, Double-Blind, Placebo-Controlled, Dose-Ranging Study to Determine the Safety & Efficacy of Avonex When Used in Subjects With Chronic Inflammatory Demyelinating polyradiculoneuropathy (CIDP).
Principal Investigator: Mark B. Bromberg
Direct Costs: \$33,006 Total Costs: \$42,000
Biogen Idec Inc
Role: Co-Investigator

07/01/03 - 12/31/06 A Randomized, Double-Blind, Placebo-Controlled, Stratified, Parallel-Group, Multi-Center, Dose-Ranging Study Evaluating Four Oral Doses of TCH346 Administered Once Daily in Patients With Amyotrophic Lateral Sclerosis.
Principal Investigator: Mark B. Bromberg
Direct Costs: \$151,670 Total Costs: \$191,612
Novartis Pharmaceuticals Corporation
Role: Co-Investigator

06/12/06 - 03/01/08 Bi-axial rotating magnetic field therapy in diabetic peripheral neuropathy
Principal Investigator: A. Gordon Smith
Direct Costs: \$45,000 Total Costs: \$45,000
New York University
Role: Principal Investigator

05/15/07 - 03/31/08 Double Blind Placebo Controlled Study of Myobloc for Troublesome Siallorhea Due to Parkinson's Disease

Smith, Page 13

Principal Investigator(s): A. Gordon Smith; John D. Steffens
 Direct Costs: \$26,667 Total Costs: \$34,000
 Solstice Neurosciences Inc
 Role: Principal Investigator

06/01/12 - 05/31/15 A PHASE II, DOUBLE-BLIND, RANDOMIZED, PLACEBO-CONTROLLED, MULTICENTER STUDY TO ASSESS THE SAFETY AND EFFICACY OF VM202 IN SUBJECTS WITH PAINFUL DIABETIC PERIPHERAL NEUROPATHY
 Principal Investigator: A. Gordon Smith
 Direct Costs: \$187,498 Total Costs: \$248,810
 ViroMed
 Role: Principal Investigator

07/01/12 - 05/31/14 VM202 Central Laboratory Contract for Processing Skin Biopsies for IENFD
 Principal Investigator: A. Gordon Smith
 Direct Costs: \$216,100 Total Costs: \$286,765
 ViroMed
 Role: Principal Investigator

Clinical Studies

2008 - Present Clinical versus Neurophysiology Study I and II (Rochester Diabetic Neuropathy Study, Mayo Clinic, Rochester, MN).

TEACHING RESPONSIBILITIES/ASSIGNMENTS

Course and Curriculum Development

1997 - 2004 Director, Clinical Neurology Lecture Series, University of Utah Department of Neurology.

2007 - Present Botox Cervical Dystonia Injection Center (Director)

2009 Organizer & Speaker, Peripheral Neuropathy Society, Peripheral Neuropathy Conference: Neuropathy and You

2010 Planning Committee, Neuropathy Association's Peripheral Neuropathy Summit, Washington D.C.

2011 - Present American Academy of Neurology : On-line Assessment Design Framework Curriculum Design Course Development

Courses Directed

2005 Skin Biopsy for Peripheral Neuropathy, American Academy of Electrodiagnostic Medicine, Monterey California

2006 Neuromuscular Therapy: The Top Ten, The American Academy of Neurology Annual Meeting, San Diego California

2009 Diabetic Neuropathy Case Conference, Peripheral Nerve Society, Wurzburg Germany

2010 Neuromuscular Skills Pavilion: Neuromuscular Bedside Rounds, American Academy of Neurology Annual Meeting, Toronto Canada

2011 Neuromuscular Skills Pavilion, Neuromuscular Bedside Rounds.

Smith, Page 14

- 2012 American Academy of Neurology Annual Meeting. Honolulu Hawaii.
Neuromuscular Skills Pavilion, Neuromuscular Bedside Rounds.
- 2013 American Academy of Neurology Annual Meeting. New Orleans LA.
Neuromuscular Skills Pavilion, Neuromuscular Bedside Rounds,
American Academy of Neurology Annual Meeting, San Diego
California

Course Lectures

- 1988 - 1992 Lecturer, University of Michigan Medical School. medical student
physical examination course
- 2004 Instructor, NEURO 7020 (1): Neurology OS - Small Groups, University
of Utah, Neurology
- 2004 Instructor, NEURO 7020 (1): Neurology OS - Small Groups 3,
University of Utah, Neurology
- 2004 Instructor, NEURO 7020 (1): Neurology OS - Small Groups 4 -
Dementia New cases MID, AD, PD, Depression, University of Utah,
Neurology
- 2004 Instructor, NEURO 7020 (1): Neurology OS - Small Groups, University
of Utah, Neurology
- 2006 Instructor, NEURO 7020 (1): Neurology OS - Small Groups: Cases 2 -
6, University of Utah, Neurology
- 2006 Instructor, NEURO 7020 (1): Neurology OS - Small Groups, University
of Utah, Neurology
- 2007 Instructor, NEURO 7020(1): Neurology OS - Small Groups, University
of Utah, Neurology
- 2008 Instructor, NEURO 7020(1): Neurology OS - Small Groups, University
of Utah, Neurology
- 2009 Instructor, NEURO 7020(1): Neurology OS - Small Groups, University
of Utah, Neurology
- 2009 Instructor, NEURO 7020 (1): Neurology - Cases Set 1 - 6, University
of Utah, Neurology
- 2009 Instructor, NEURO 7020 (1): Neurology - Small Groups - Cases 2 - 6 -
Quiz 1%, University of Utah, Neurology
- 2009 Instructor, NEURO 7020 (1): Neurology - Cases 4-6 Small Group
Dementias, University of Utah, Neurology
- 2010 Instructor, NEURO 7020 (1): Cases, Small Group Discussions,
University of Utah, Neurology

Clinical Teaching

- 1997 - Present Clinical teaching for residents and students on the inpatient Neurology
service at University of Utah Hospital and the Salt Lake City VA 6-12
weeks/year.
- 1997 - Present Clinical teaching for all residents and fellows and selected students
rotating on the Neuromuscular Service (neuromuscular clinic and
EMG laboratory).
- 1997 - 2003 General Neurology Resident Continuity Clinic

2000 - Present Clinical teaching in Botulinum Toxin Clinic for all rotating residents, fellows and selected medical students.

Small Group Teaching

1997 - Present Small group leader in second year medical school neuroscience course, University of Utah School of Medicine.
2000 - 2001 Small group leader, Introduction to Medicine course.
2005 - 2007 Clinical Small Group for Graduate Bioengineering Students

Trainee Supervision

Fellow

1997 - 1998 Supervisor, Rob McLaughlin, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Private Practice

1997 - 1998 Supervisor, John Steffens, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Faculty, University of Utah

1998 - 1999 Supervisor, Greg Meekins, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Faculty University of Washington

1998 - 1999 Supervisor, Dennis Obrien, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Private Practice

2000 - 2001 Supervisor, Jun Li, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Faculty, Vanderbilt University

2000 - 2001 Supervisor, Ross Lipton, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Private Practice

2000 - 2002 Supervisor, Victoria Lawson, University of Utah. Neuromuscular Fellow, Special Interest in CMT
Trainee's Current Career Activities: Faculty, Ohio State University

2001 - 2002 Supervisor, David Renner, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Faculty, University of Utah

2002 - 2003 Supervisor, Mouaz Sbei, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Private Practice

2004 - 2005 Supervisor, Elizabeth Sunderman, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Private Practice

2006 - 2007 Supervisor, Jeffrey Wagner, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Faculty, University of Utah

2007 - 2008 Supervisor, Mohammed Shoari, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Private Practice Salt Lake City

2008 - 2009 Supervisor, Jackie Whitesell, University of Utah. Neuromuscular Fellow
Trainee's Current Career Activities: Faculty, University of Utah Department of Neurology

Smith, Page 16

| | |
|-------------|--|
| 2008 - 2009 | Supervisor, Nicole Clark, University of Utah <i>Trainee's Current Career Activities:</i> Practice, Helena Montana |
| 2009 - 2010 | Supervisor, Mengjing Huan, University of Utah <i>Trainee's Current Career Activities:</i> Private Practice, Salt Lake City, Utah |
| 2009 - 2010 | Supervisor, Peter Masny, University of Utah <i>Trainee's Current Career Activities:</i> Practice, California |
| 2011 - 2012 | Supervisor, Lia Chebelev, University of Utah. Neuromuscular Fellow <i>Trainee's Current Career Activities:</i> Private Practice |
| 2011 - 2012 | Supervisor, Emma Burbank, University of Utah. Neuromuscular Fellow <i>Trainee's Current Career Activities:</i> Private Practice, Oregon |
| 2012 - 2013 | Supervisor, Summer Gibson, University of Utah. Neuromuscular Fellow <i>Trainee's Current Career Activities:</i> Assistant Professor of Neurology University of Utah |
| 2012 - 2013 | Supervisor, Noah Kolb, University of Utah. Neuromuscular Fellow <i>Trainee's Current Career Activities:</i> Assistant Professor of Neurology University of Utah |
| 2013 - 2014 | Supervisor, Payam Soltanzadeh, University of Utah. Neuromuscular Fellow <i>Trainee's Current Career Activities:</i> Staff Cleveland Clinic |
| 2014 - 2015 | Supervisor, Christopher Muth, University of Utah. Neuromuscular Fellow |
| 2014 - 2015 | Supervisor, Ligia Onofrei, University of Utah. Neuromuscular Fellow |
| 2015 - 2016 | Supervisor, Kelsey Juster-Switlyk, University of Utah. Neuromuscular Fellow |
| 2015 - 2016 | Supervisor, Yoonhee Hong-Choi, University of Utah. Neuromuscular Fellow |
| 2016 | Supervisor, Patrick Nicholson, University of Utah. Neuromuscular |

MD, PhD

| | |
|-------------|--|
| 2001 - 2003 | Supervisor, Shawn Smith, University of Utah. |
| 2005 - 2008 | Supervisor, Kristi Rose, University of Utah |

Medical Student

| | |
|------|---|
| 2012 | Supervisor, Ryan Brinn, University of Utah |
| 2016 | Supervisor, Joshua Winegar, University of Utah |
| 2016 | Supervisor, Melanie Torres, University of Puerto Rico |

High School

| | |
|------|---|
| 2011 | Supervisor, Grace Hunt, University of Utah. Neuroscience Summer Student |
|------|---|

Educational Lectures

Didactic Lectures

- 1997 - Present Lecturer in the Clinical Neurophysiology Lecture Series, University of Utah, Department of Neurology.
- 2004 - Present Peripheral Neuropathy, PMR Resident Lecture Series

Continuing Education

CE Courses Developed

- 2010 Spasticity Pain and Dystonia, University of Utah Departments of PMR, Neurology and Anesthesiology

CE Courses Taught

- 2004 Peripheral Neuropathy Update, Update in Internal Medicine, Park City Utah
- 2007 Update on Peripheral Neuropathy, Internal Medicine Update, Park City Utah
- 2009 Peripheral Neuropathy, Diabetes Educators of Utah, Snowbird, Utah

Other Educational Activities

- 2007 Director Cervical Dystonia Injection Center
- 2009 Residents and Fellows Career Forum Fellowship Panel Member, American Academy of Neurology Annual Meeting, Seattle Washington
- 2009 Dystonia Injection Workshop Faculty Member
- 2010 Residents and Fellows Career Forum Fellowship Panel Member, American Academy of Neurology Annual Meeting, Toronto Canada
- 2010 Dystonia Injection Workshop Faculty Member
- 2011 Moderator, Residents and Fellows Career Forum Fellowship Panel, American Academy of Neurology Annual Meeting, Honolulu Hawaii
- 2011 American Academy of Neurology Assessment Design Framework Model (ADFM) Workshop for NeuroLearn Faculty
- 2012 American Academy of Neurology Assessment Design Framework Model (ADFM) Workshop for NeuroLearn Faculty

PEER-REVIEWED JOURNAL ARTICLES

1. Windebank AJ, **Smith AG**, Russell JW (1994). The effect of nerve growth factor, ciliary neurotrophic factor, and ACTH analogs on cisplatin neurotoxicity in vitro. *Neurology*, 44(3 Pt 1), 488-94.
2. **Smith AG**, Wald J (1996). Acute ventilatory failure in Lambert-Eaton myasthenic syndrome and its response to 3,4-diaminopyridine. *Neurology*, 46(4), 1143-5.
3. **Smith AG**, Albers JW (1997). n-Hexane neuropathy due to rubber cement sniffing. *Muscle Nerve*, 20, 1445-50.
4. **Smith AG**, Cornblath WT, Deveikis JP (1997). Local thrombolytic therapy in deep cerebral venous thrombosis. *Neurology*, 48, 1613-9.
5. **Smith AG**, Bromberg MB, Singleton JR, Forsheo DA (1999). The use of "clinic

- room" presentation as an educational tool in the ambulatory care setting. *Neurology*, 52(2), 317-20.
6. Bromberg MB, **Smith AG**, Bauerle J (1999). A comparison of two commercial quantitative electromyographic algorithms with manual analysis. *Muscle Nerve*, 22(9), 1244-8.
 7. Entezari-Taher M, Singleton JR, Jones CR, Meekins G, Petajan JH, **Smith AG** (1999). Changes in excitability of motor cortical circuitry in primary restless legs syndrome. *Neurology*, 53(6), 1201-5.
 8. Carey MJ, **Smith AG**, Townsend JJ (2000). Pathologic quiz case: progressive diffuse weakness after chemotherapy for large cell lymphoma in a middle age woman. Lymphomatous meningitis with neurolymphomatosis. *Arch Pathol Lab Med*, 124, 645-6.
 9. **Smith AG**, Urbanits S, Blaivas M, Grisold W, Russell JW (2000). Clinical and pathologic features of focal myositis. *Muscle Nerve*, 23(10), 1569-75.
 10. **Smith AG** (2001). Charcot-Marie-tooth disease. *Arch Neurol*, 58(6), 1014-6.
 11. Singleton JR, **Smith AG**, Bromberg MB (2001). Increased prevalence of impaired glucose tolerance in patients with painful sensory neuropathy. *Diabetes Care*, 24(8), 1448-53.
 12. Moore KR, Blumenthal DT, **Smith AG**, Ward JH (2001). Neurolymphomatosis of the lumbar plexus: high-resolution MR neurography findings. *Neurology*, 57(4), 740-2.
 13. Singleton JR, **Smith AG**, Bromberg MB (2001). Painful sensory polyneuropathy associated with impaired glucose tolerance. *Muscle Nerve*, 24(9), 1225-8.
 14. **Smith AG**, Ramachandran P, Tripp S, Singleton JR (2001). Epidermal nerve innervation in impaired glucose tolerance and diabetes-associated neuropathy. *Neurology*, 57(9), 1701-4.
 15. Li J, Petajan J, Smith G, Bromberg M (2002). Electromyography of sternocleidomastoid muscle in ALS: a prospective study. *Muscle Nerve*, 25(5), 725-8.
 16. Lawson VL, **Smith AG**, Bromberg MB (2003). Assessment of axonal loss in Charcot Marie Tooth neuropathies. *Exp Neurol*, 184(2), 753-7.
 17. Bonkowsky JL, Johnson J, Carey JC, **Smith AG**, Swoboda KJ (2003). An infant with primary tooth loss and palmar hyperkeratosis: a novel mutation in the NTRK1 gene causing congenital insensitivity to pain with anhidrosis. *Pediatrics*, 112(3 Pt 1), e237-41.
 18. **Smith AG**, Singleton JR (2004). The diagnostic yield of a standardized approach to idiopathic sensory-predominant neuropathy. *Arch Intern Med*, 164(9), 1021-5.
 19. **Smith AG**, Howard JR, Kroll R, Ramachandran P, Hauer P, Singleton JR, McArthur J (2005). The reliability of skin biopsy with measurement of intraepidermal nerve fiber density. *J Neurol Sci*, 228(1), 65-9.
 20. **Smith AG**, Singleton JR (2006). Idiopathic neuropathy, prediabetes and the metabolic syndrome. *Journal of Neurological Sciences*, (242), 9-14.
 21. Singleton JR, **Smith AG** (2006). Therapy insight: neurological complications of prediabetes. *Nat Clin Pract Neurol*, 2(5), 276-82.
 22. **Smith AG**, Russell J, Feldman EL, Goldstein J, Peltier A, Smith S, Hamwi J, Pollari D, Bixby B, Howard J, Singleton JR (2006). Lifestyle intervention for pre-diabetic

- neuropathy. *Diabetes Care*, 29(6), 1294-9.
23. Sampson JB, Smith SM, **Smith AG**, Singleton JR, Chin S, Pestronk A, Flanigan KM (2007). Paraneoplastic myopathy: response to intravenous immunoglobulin. *Neuromuscul Disord*, 17(5), 404-8.
 24. Orme HT, **Smith AG**, Nagel MA, Bert RJ, Mickelson TS, Gilden DH (2007). VZV spinal cord infarction identified by diffusion-weighted MRI (DWI). *Neurology*, 69(4), 398-400.
 25. Singleton JR, **Smith AG** (2007). Neuropathy associated with prediabetes: what is new in 2007? *Curr Diab Rep*, 7(6), 420-4.
 26. **Smith AG**, Singleton JR (2008). Impaired glucose tolerance and neuropathy. *Neurologist*, 14(1), 23-9.
 27. Feldman EL, Cornblath DR, Porter J, Dworkin R, Scherer S, Attendees of the NIH Peripheral Neuropathy Conference (2008). National Institute of Neurological Disorders and Stroke (NINDS): advances in understanding and treating neuropathy, 24-25 October 2006; Bethesda, Maryland. *J Peripher Nerv Syst*, 13(1), 1-6.
 28. Singleton JR, Bixby B, Russell JW, Feldman EL, Peltier A, Goldstein J, Howard J, **Smith AG** (2008). The Utah Early Neuropathy Scale: a sensitive clinical scale for early sensory predominant neuropathy. *J Peripher Nerv Syst*, 13(3), 218-27.
 29. Shprecher DR, Flanigan KM, **Smith AG**, Smith SM, Schenkenberg T, Steffens J (2008). Clinical and diagnostic features of delayed hypoxic leukoencephalopathy. (PMID: 19196933). *J Neuropsychiatry Clin Neurosci*, 20(4), 473-7.
 30. **Smith AG**, Rose K, Singleton JR (2008). Idiopathic neuropathy patients are at high risk for metabolic syndrome. *J Neurol Sci*, 273(1-2), 25-8.
 31. Peltier A, **Smith AG**, Russell JW, Sheikh K, Bixby B, Howard J, Goldstein J, Song Y, Wang L, Feldman EL, Singleton JR (2009). Reliability of quantitative sudomotor axon reflex testing and quantitative sensory testing in neuropathy of impaired glucose regulation. *Muscle Nerve*, 39(4), 529-35.
 32. Blackburn MK, Lamb RD, Digre KB, **Smith AG**, Warner JE, McClane RW, Nandedkar SD, Langeberg WJ, Holubkov R, Katz BJ (2009). FL-41 tint improves blink frequency, light sensitivity, and functional limitations in patients with benign essential blepharospasm. *Ophthalmology*, 116(5), 997-1001.
 33. Weintraub MI, Herrmann DN, **Smith AG**, Backonja MM, Cole SP (2009). Pulsed electromagnetic fields to reduce diabetic neuropathic pain and stimulate neuronal repair: a randomized controlled trial. *Arch Phys Med Rehabil*, 90(7), 1102-9.
 34. Lauria G, Hsieh ST, Johansson O, Kennedy WR, Leger JM, Mellgren SI, Nolano M, Merkies IS, Polydefkis M, **Smith AG**, Sommer C, Valls-Sole J (2010). European Federation of Neurological Societies/Peripheral Nerve Society Guideline on the use of skin biopsy in the diagnosis of small fiber neuropathy. Report of a joint task force of the European Federation of Neurological Societies and the Peripheral Nerve Society. *Eur J Neurol*, 17(7), 903-12, e44-9.
 35. Dyck PJ, Overland CJ, Low PA, Litchy WJ, Davies JL, Dyck PJ, O'Brien PC, Albers JW, Andersen H, Bolton CF, England JD, Klein CJ, Llewelyn JG, Mauermann ML, Russell JW, Singer W, **Smith AG**, Tesfaye S, Vella A (2010). Signs and symptoms versus nerve conduction studies to diagnose diabetic sensorimotor polyneuropathy: CI vs. NPhys trial. *Muscle Nerve*, 42(2), 157-64.

36. Lauria G, Bakkers M, Schmitz C, Lombardi R, Penza P, Devigili G, **Smith AG**, Hsieh ST, Mellgren SI, Umapathi T, Ziegler D, Faber CG, Merkies IS (2010). Intraepidermal nerve fiber density at the distal leg: a worldwide normative reference study. *J Peripher Nerv Syst*, 15(3), 202-7.
37. Tesfaye S, Boulton AJ, Dyck PJ, Freeman R, and the Toronto Consensus Conference (2010). Diabetic neuropathies: update on definitions, diagnostic criteria, estimation of severity, and treatments. *Diabetes Care*, 33(10), 2285-2293.
38. Joint Task Force of the EFNS and the PNS (2010). European Federation of Neurological societies/Peripheral Nerve Society Guideline on the use of skin biopsy in the diagnosis of small fiber neuropathy. Report of a joint task force of the European Federation of Neurological Societies and the Peripheral Nerve Society. *J Peripher Nerv Syst*, 15, 79-92.
39. Zilliox L, Peltier AC, Wren PA, Anderson A, **Smith AG**, Singleton JR, Feldman EL, Alexander NB, Russell JW (2011). Assessing autonomic dysfunction in early diabetic neuropathy: the Survey of Autonomic Symptoms. *Neurology*, 76(12), 1099-105.
40. Dyck PJ, Albers JW, Andersen H and the Toronto Consensus Group (2011). Diabetic polyneuropathies (DPNs): update on research definition, diagnostic criteria and estimation of severity. *Diabetes Metab Res Rev*, 27(7), 620-628.
41. Malik R, Veves A, Tesfaye S, **Smith AG**, Cameron N, Zochodne D, Lauria G. on behalf of The Toronto Consensus Panel on Diabetic Neuropathy (2011). Small fiber neuropathy role in the diagnosis of DSPN. *Diabetes Metab Res Rev*, 27(7), 674-684.
42. Tesfaye S, Vileikyte L, Rayman G, Sindrup SH, Perkins, BA, Baconja J, Vinik AI, Boulton AJM, on behalf of The Toronto Expert Panel on Diabetic Neuropathy (2011). Painful diabetic peripheral neuropathy: consensus recommendations on diagnosis, assessment and management. *Diabetes Metab Res Rev*, 27(7), 629-638.
43. French KF, Hoesch RE, Allred J, Wilder M, **Smith AG**, Digre KB, La Barge DV 3rd (2012). Repetitive use of intra-arterial verapamil in the treatment of reversible cerebral vasoconstriction syndrome. *J Clin Neurosci*, 19(1), 174-6.
44. **Smith AG** (2012). Diagnosis of neuropathy: comment on "tests and expenditures in the initial evaluation of peripheral neuropathy". *Arch Intern Med*, 172(2), 132-3.
45. **Smith AG** (2012). Neuromuscular therapy from bench to bedside. *Semin Neurol*, 32(3), 171-2.
46. Singleton JR, **Smith AG** (2012). The diabetic neuropathies: practical and rational therapy. *Semin Neurol*, 32(3), 196-203.
47. Boger MS, Hulan T, Haas DW, Mitchell V, **Smith AG**, Singleton JR, Peltier AC (2012). Measures of small-fiber neuropathy in HIV infection. *Auton Neurosci*, 169(1), 56-61.
48. **Smith AG**, Marcus R (2012). Exercise for diabetic neuropathy: A toe in the therapeutic door. *J Diabetes Complications*, 26(5), 361-2.
49. Burns TM, **Smith AG** (2012). "Measure twice, cut once": improving diagnostic accuracy of skin biopsy. (PMID: 23100394). *Neurology*, 79(22), 2164-5.
50. **Smith AG**, Marcus R (2012). Exercise for diabetic neuropathy: a toe in the therapeutic door. *Neurology*, 26(5), 2104-5.

51. **Smith AG** (2012). Impaired glucose tolerance and metabolic syndrome in idiopathic neuropathy. *J Peripher Nerv Syst*, 17(2), 15-21.
52. Dyck PJ, Overland CJ, Low PA, Litchy WJ, Davies JL, Dyck PJ, Carter RE, Melton LJ, Andersen H, Albers JW, Bolton CF, England JD, Klein CJ, Llewelyn G, Mauermann ML, Russell JW, Selvarajah D, Singer W, **Smith AG**, Tesfaye S, Vella A (2012). "Unequivocally Abnormal" vs "Usual" Signs and Symptoms for Proficient Diagnosis of Diabetic Polyneuropathy: CI vs N Phys Trial. *Arch Neurol*, 69(12), 1609-14.
53. Gibson SB, Majersik JJ, **Smith AG**, Bromberg MB (2013). Three cases of acute myositis in adults following influenza-like illness during the H1N1 pandemic. *J Neurosci Rural Pract*, 4(1), 51-4.
54. **Smith AG**, Kim G, Porzio M, Allen B, Koach M, Mifflin M, Digre K, Keung BM, Singleton JR (2013). Corneal confocal microscopy is efficient, well-tolerated, and reproducible. *Journal of the Peripheral Nervous System Online*, 18(1), 54-8.
55. Frech TM, **Smith AG**, Reily M, Chamberlain J, Murtaugh MA, Penrod J, Battistone MJ, Stults BM (2013). Peripheral neuropathy: a complication of systemic sclerosis. *Clin Rheumatol*, 32(6), 885-8.
56. Cheng HT, Dauch JR, Porzio MT, Yanik BM, Hsieh W, **Smith AG**, Singleton JR, Feldman EL (2013). Increased axonal regeneration and swellings in intraepidermal nerve fibers characterize painful phenotypes of diabetic neuropathy. *J Pain*, 14(9), 941-7.
57. Dyck PJ, Albers JW, Wolfe J, Bolton CF, Walsh N, Klein CJ, Zafft AJ, Russell JW, Thomas K, Davies JL, Carter RE, Melton LJ 3rd, Litchy WJ (2013). A trial of proficiency of nerve conduction: greater standardization still needed. *Muscle Nerve*, 48(3), 369-74.
58. **Smith AG**, Singleton JR (2013). Obesity and hyperlipidemia are risk factors for early diabetic neuropathy. *J Diabetes Complications*, 27(5), 436-42.
59. Kim G, Singleton JR, Mifflin MD, Digre KB, Porzio MT, **Smith AG** (2013). Assessing the Reproducibility of Quantitative In Vivo Confocal Microscopy of Corneal Nerves in Different Corneal Locations. *Cornea*, 32(10), 1331-8.
60. Cortez M, Singleton JR, **Smith AG** (2014). Glucose intolerance, metabolic syndrome, and neuropathy. *Handb Clin Neurol*, 126, 109-22.
61. **Smith AG**, Lessard M, Reyna S, Doudova M, Singleton JR (2014). The diagnostic utility of SudoScan for distal symmetric peripheral neuropathy. *J Diabetes Complications*, Jul-Aug(4), 511-516.
62. **Smith AG** (2014). Do all neuropathy patients need an EMG at least once? *Continuum (Minneap Minn)*, 20(5 Peripheral Nervous System Disorders), 1430-4.
63. Hamid HS, Mervak CM, Munch AE, Robell NJ, Hayes JM, Porzio MT, Singleton JR, **Smith AG**, Feldman EL, Lentz SI (2014). Hyperglycemia- and neuropathy-induced changes in mitochondria within sensory nerves. *Ann Clin Transl Neurol*, 1(10), 799-812.
64. Singleton JR, Marcus RL, Jackson JE, K Lessard M, Graham TE, **Smith AG** (2014). Exercise increases cutaneous nerve density in diabetic patients without neuropathy. *Ann Clin Transl Neurol*, 1(10), 844-9.
65. **Smith AG**, Burns TM (2014). Re-evaluating clinical measurement tools in therapeutic trials: time to make a Rasch decision? *Neurology*, Dec 2;83(23), 2014-

Smith, Page 22

- 5.
66. Singleton JR, Marcus RL, Lessard MK, Jackson JE, **Smith AG** (2015). Supervised exercise improves cutaneous reinnervation capacity in metabolic syndrome patients. *Ann Neurol*, 77(1), 146-53.
67. Kessler JA, Vinik A, **Smith AG**, Choi SH, Wymer J, Shaibani A, Ajroud-Driss S, Cha BS, VM202 DPN-II Study Group (2015). Double-blind, placebo-controlled study of HGF gene therapy in diabetic neuropathy. *Ann Clin Transl Neurol*, 2015 May;2(5), 465-78.
68. Kinard KI, **Smith AG**, Singleton JR, Lessard M, Katz BJ, Warner JEA, Crum AV, Mifflin MD, Brennan KC, Digre KB (03/31/2015). Chronic migraine is associated with reduced corneal nerve fiber density and symptoms of dry eye. *Headache*, 2015Apr;55(4), 543-9.
69. Baets J, Duan X, Wu Y, Smith G, Seeley WW, Mademan I, McGrath NM, Beadell NC, Khoury J, Botuyan MV, Mer G, Worrell GA, Hojo K, DeLeon J, Laura M, Liu YT, Senderek J, Weis J, Van den Bergh P, Merrill SL, Reilly MM, Houlden H, Grossman M, Scherer SS, De Jonghe P, Dyck PJ, Klein CJ (2015). Defects of mutant DNMT1 are linked to a spectrum of neurological disorders. *Brain*, 138(Pt 4), 845-61.
70. Tavakoli M, Ferdousi M, Petropoulos IN, Morris J, Pritchard N, Zhivov A, Ziegler D, Pacaud D, Romanchuk K, Perkins BA, Lovblom LE, Bril V, Singleton JR, Smith G, Boulton AJ, Efron N, Malik RA (2015). Normative values for corneal nerve morphology assessed using corneal confocal microscopy: a multinational normative data set. *Diabetes Care*, 38(5), 838-43.
71. Singleton JR, **Smith AG**, Marcus RL (2015). Exercise as Therapy for Diabetic and Prediabetic Neuropathy. *Curr Diab Rep*, Dec;15(12), 120.
72. Burns TM, **Smith AG**, et al (2016). Editorial by concerned physicians: Unintended effect of the Orphan Drug Act on the potential cost of 3,4-diaminopyridine. *Muscle Nerve*, Feb; 53(2), 165-8.
73. Kissel J, **Smith AG** (2016). Understanding Small Fiber Neuropathy: The Long and Short of It. *JAMA Neurol*, 73(6), 635-7.
74. Juster-Switlyk K, **Smith AG** (2016). Updates in diabetic peripheral neuropathy. *F1000Res*, 2016 Apr, 25;5 Rev-738.
75. **Smith AG** (2016). Price Gouging and the Dangerous New Breed of Pharma Companies. *Harv Bus Rev*.
76. Vinik AI, **Smith AG**, Singleton JR, Callaghan B, Freedman BI, Tuomilehto J, Bordier L, Baudeceau B, Roche F (2016). Normative values for Electrochemical Skin Conductances and Impact of Ethnicity on Quantitative Assessment of Sudomotor Function. *Diabetes Technol Ther*, 18(6), 391-8.
77. Pucillo EM, Christensen-Mayer N, Poole SD, Whitten DM, Freeman D, Bohe BR, Swensen BR, **Smith AG**, Johnson NE (2016). Same-day physical therapy consults in an outpatient neuromuscular disease physician clinic. *J Multidiscip Healthc*, 2016 Oct 3(9), 493-497.
78. Kolb NA, **Smith AG**, Singleton JR, Beck SL, Stoddard GJ, Brown S, Mooney K (2016). The Association of Chemotherapy-Induced Peripheral Neuropathy Symptoms and the Risk of Falling. *JAMA Neurol*, 73(7), 860-6.
79. de Havenon A, Haynor D, Tirschwell D, Majersik J, **Smith AG**, Cohen W, Andre J

Smith, Page 23

- (2016). Collateral Blood Vessels Detected by Arterial Spin Labeling MRI Predict Better Neurological Outcome After Ischemic Stroke. *JAMA Neurol*.
80. Kluding PM, Singleton JR, Pasnoor M, Dimachkie MM, **Smith AG**, Marcus RL (2016). Activity in People With Diabetic Polyneuropathy (ADAPT): Study Design and Protocol for a Two-Site Randomized Controlled Trial. *Phys Ther, Epub*.
 81. Gewandter JS, Burke L, Cavaletti G, Dworkin RH, Gibbons C, Gover TD, Herrmann DN, McArthur Mb JC, McDermott MP, Rappaport BA, Reeve BB, Russell JW, **Smith AG**, Smith SM, Turk DC, Vinik AI, Freeman R (2016). Content validity of symptom-based measures for diabetic, chemotherapy, and HIV peripheral neuropathy. *Muscle Nerve, Epub*.
 82. Wynn D, McCorquodale D 3rd, Peters A, Juster-Switlyk K, **Smith AG**, Ansari S (2016). Rapidly Progressive Quadriplegia and Encephalopathy. *JAMA Neurol*, 2016, Sept 6.
 83. Abenroth DC, **Smith AG**, Greenlee JE, Austin SD, Clardy SL (2016). Lambert-Eaton myasthenic syndrome (LEMS): Epidemiology and therapeutic response in the national Veterans Affairs (VA) population. *Muscle Nerve*, 2016, Dec 20.
 84. Juster-Switlyk K, **Smith AG**, Kovacsovics T, Stephens D, Glenn M, Palmer CA, Quigley EP, Kolb N (2017). MTHFR C667T Polymorphism is Associated with Methotrexate Induced Myelopathy Risk (Epub ahead of print). *Neurology*.

REVIEW ARTICLES

1. **Smith AG**, Bromberg MB (1999). The treatment of inflammatory demyelinating neuropathy. [Review]. *J Clin Neuromuscular Dis*, 1, 21-31.
2. Bromberg MB, **Smith AG** (2002). Towards an efficient method to evaluate peripheral neuropathies. [Review]. *J Clin Neuromuscular Dis*, 3, 172-182.
3. Singleton JR, **Smith AG** (2003). Painful sensory neuropathy in patients with impaired glucose tolerance: diagnosis and pathophysiology. [Review]. *Clin Geriatr*, 11(3), 28-34.
4. **Smith AG**, Bromberg MB (2003). A rational diagnostic approach to peripheral neuropathy. [Review]. *J Clin Neuromuscular Dis*, 4(4), 190-198.
5. Singleton JR, **Smith AG**, Russell JW, Feldman EL (2003). Microvascular complications of impaired glucose tolerance. [Review]. *Diabetes*, 52(12), 2867-73.
6. **Smith AG** (2004). Pearls and pitfalls in the therapeutic use of botulinum toxin. [Review]. *Semin Neurol*, 24(2), 165-74.
7. Singleton JR, **Smith AG**, Russell J, Feldman EL (2005). Polyneuropathy with impaired glucose tolerance: Implications for diagnosis and therapy. [Review]. *Curr Treat Options Neurol*, 7(1), 33-42.
8. Singleton JR, **Smith AG** (2006). Neurological complications of prediabetes. [Review]. *Nat Clin Pract Neurol*, 2(5), 276-282.
9. **Smith AG**, Singleton JR (2012). Diabetic neuropathy. [Review]. *Continuum (Minneap Minn)*, 18(1), 60-84.
10. **Smith AG** (2012). Impaired glucose tolerance and metabolic syndrome in idiopathic neuropathy. [Review]. *Journal of the Peripheral Nervous System Online*, 17 Suppl 2, 15-21.

Edited Books

1. Bromberg MB, **Smith AG** (Eds.) (2005). *Handbook of Peripheral Neuropathy*. New York: Taylor and Francis.

BOOK CHAPTERS

1. Bromberg MB, **Smith AG**, Forshew DA (2001). Motor neuron disease. In Pourmand R (Ed.), *Neuromuscular disorders, and Expert Clinician's View* (pp. 67-104). Newton, MA: Butterworth Heinemann.
2. **Smith AG**, Lawson VL (2003). The relationship between motor unit number estimates and muscle strength. In Bromberg MB (Ed.), *Motor Unit Number Estimation (Supplements to Clinical Neurophysiology)* (55, pp. 258-261).
3. **Smith AG** (2005). Diagnostic Yield for Peripheral Neuropathy. In Bromberg MB, Smith AG (Eds.), *Handbook of Peripheral Neuropathy* (39, pp. 677-685). New York: Taylor and Francis.
4. **Smith AG** (2005). Median Mononeuropathies. In Bromberg MB, Smith AG (Eds.). New York: Taylor and Francis.
5. **Smith AG** (2005). Skin Biopsy. In Bromberg MB, Smith AG (Eds.), *Handbook of Peripheral Neuropathy* (pp. 83-90). New York: Taylor and Francis.
6. **Smith AG**, Singleton JR (2005). Diabetic Neuropathy. In Bromberg MB, Smith AG (Eds.), *Handbook of Peripheral Neuropathy* (pp. 179-204). New York: Taylor and Francis.
7. Li J, **Smith AG** (2005). Other Hereditary Neuropathies. In Bromberg MB, Smith AG (Eds.), *Handbook of Peripheral Neuropathy* (pp. 411-435). New York: Taylor and Francis.
8. Dyck PJ, Overland C, Low PA, Litchy W, Davies J, Dyck JB, O'Brien PC, Angersen H, Albers J, Bolton C, England J, Klein CJ, Llewelyn, Mauermann M, Russell JW, Singer W, **Smith AG**, Tesfaye S, Vella A (2010). Evaluation of Diabetic Polyneuropathy: Design of the Neurologic Examination vs Clinical Neurophysiology Tests Trial (CL vs NPhys Trial). In Dyck PJ, Thomas PK (Eds.), *Companion to Peripheral Neuropathy* (1, pp. 313-316). Amsterdam: Saunders.
9. Singleton JR, **Smith AG** (2012). Preventing Neuropathic Pain in Experimental Models and Predictable Clinical Settings. In Simpson DM, McArthur JC, Dworkin RH (Eds.), *Neuropathic Pain: mechanisms, diagnosis and treatment*. New York: Oxford Press.
10. Huan M, **Smith AG** (March 8, 2012). Weakness (Guillain Barré Syndrome). In Roos, K (Eds.), *Emergency Neurology, Diagnosis and Treatment* (2012). Springer.
11. Kolb N, **Smith AG** (2014). The Neurobiology of Disease: Anatomy and Localization in the Peripheral Nervous System. In *Oxford Press* (2014).

CONFERENCE PROCEEDINGS

1. **Smith AG**, Bromberg MB (1999). The pattern of motor unit loss in Charcot Marie Tooth disease and spinal muscular atrophy. *Proceedings of the XI international congress of EMG and clinical neurophysiology*.

Other (Commentary/Letters/Editorials/Case Reports/Video/Film)

Book Reviews

1. **Smith AG** (2009). Electromyography and Neuromuscular Disorders. [Review of the book *A Trial Proficiency of Nerve Conduction: Greater Standardization Needed.*]. *Muscle & Nerve*.

Letters

1. Windebank AJ, **Smith AG** (1994). Cisplatin Neuropathy [Letter to the editor]. *Neurology*, 45, 596.

Other

1. Smith, A. Gordon (2011). Whittling away at the unknown: the fight against idiopathic neuropathy. *The Foundation for Peripheral Neuropathy (FPN) News* (Fall 2011).

Video/Film/CD/Web/Podcast

1. Smith, A. Gordon (2010). Does head trauma cause ALS? Are professional athletes at greater risk? [Web]. Available: AAN.com (http://www.aan.com/news/?event=read&article_id=9005).
2. Smith, A. Gordon (2010). Is the neurological examination a dying art? [Web]. Available: AAN.com (http://www.aan.com/news/?event=read&article_id=9094).
3. Smith, A. Gordon (2010). Do you own your own genes? [Web]. Available: AAN.com (http://www.aan.com/news/?event=read&article_id=9099).
4. Smith, A. Gordon (2011). What's a good teacher worth? [Web]. Available: AAN.com (http://www.aan.com/news/?event=read&article_id=9324).
5. Smith A. Gordon (2013). Practical Pedagogy the Art and Neuroscience of Teaching [Web]. NeuroLearn. Available: www.AAN.com.
6. **Smith AG** (2014). Cutaneous and motor point biopsies [Web]. Waltham, MA: UpToDate. Available: www.uptodate.com.

PENDING PUBLICATIONS

Journal Articles

1. de Havenon A, Stoddard G, Wang H, **Smith A**, Chung L, Majersik J (In Press). Increased blood pressure variability is associated with worse outcome in acute ischemic stroke patients with penumbra. *Stroke Res Treat*.
2. de Havenon A, Tirschwell DL, Sultan-Qurraie A, Majersik J, **Smith A**, Mossa-Basha M (In Press). High-risk features on carotid MRI do not correlate with emboli monitoring on TCD. *J Neurol Neurosurg Psychiatry*.
3. Stino M, SmithAG (Submitted). Peripheral Neuropathy in Diabetes and Prediabetes. *Journal of Diabetes Investigation*.

PUBLISHED ABSTRACTS

1. **Smith AG**, Windebank AJ (1994). *The effect of ACTH analogs on cisplatin neurotoxicity using embryonic rat dorsal root ganglia explant as an in vitro model* [Abstract]. *Neurology*, 42(3), 144.

2. Windebank AJ, **Smith AG** (1994). *Melanocortin peptides do not protect neurons by direct potentiation of nerve growth factor* [Abstract]. *Neurology*, 42(3), 144.
3. **Smith AG**, Blaivas M, Russell JW, Urbanits S, Grisold W, Feldman EL (1997). *The clinical and pathologic features of focal myositis* [Abstract]. *Ann Neurol*, 42, 414.
4. **Smith AG**, Russell J (1997). *Autonomic Dysfunction in Fabry disease* [Abstract].
5. Singleton JR, **Smith AG**, Bromberg MB (1998). *Glucose tolerance testing (GTT) in the evaluation of idiopathic neuropathy* [Abstract]. *Muscle Nerve*, 7, S115.
6. **Smith AG**, Singleton JR, Bromberg MB, Forshew DA (1998). *Bedside case presentation as an educational tool in the ambulatory care setting* [Abstract]. *Neurology*, 50, A50.
7. **Smith AG**, Baurle J, Bromberg MB (1998). *Pitfalls in quantitative motor unit analysis* [Abstract]. *Muscle Nerve*, 21, 1602.
8. Singleton JR, **Smith AG**, Bromberg MG (1998). *Glucose tolerance testing in the evaluation of idiopathic neuropathy* [Abstract]. *Ann Neurol*, 43, 477.
9. **Smith AG**, Singleton JR, Meekins G (1999). *Peripheral neuropathy and restless legs syndrome* [Abstract]. *Annals of Neurology*, 46, 484.
10. Taher ME, Jones C, Singleton JR, Meekins G, **Smith AG** (1999). *Changes in excitability of motor cortical circuitry in primary restless legs syndrome* [Abstract]. *Neurology*, 52, A112-113.
11. **Smith AG**, Bromberg MB, O'Brien (1999). *Proximal and distal MUNE in Charcot Marie Tooth disease* [Abstract]. *Neurology*, 52, A217.
12. **Smith AG**, Singleton JR, Meekins G (1999). *Restless legs syndrome and peripheral neuropathy* [Abstract]. *Journal of the Peripheral Nervous System*, 4, 151.
13. **Smith AG**, Bromberg MB, Dolan C (2000). *The effect of electrode size on intraobserver and interobserver reliability of nerve conduction parameters* [Abstract]. *Muscle Nerve*, 23, 1629-1630.
14. Lipton R, Singleton JR, Taher M, Jones C, **Smith AG** (2000). *Cortical and peripheral silent period in restless legs syndrome associated with peripheral neuropathy* [Abstract]. *Neurology*, 54, A26.
15. Singleton JR, **Smith AG** (2000). *Clinical features and follow-up in painful sensory neuropathy associated with impaired glucose tolerance* [Abstract]. *Annals of Neurology*, 48, 471.
16. **Smith AG**, Tripp S, Singleton JR (2000). *Skin biopsy findings in patients with neuropathy associated with diabetes and impaired glucose tolerance* [Abstract]. *Neurology*, 54, A368.
17. **Smith AG**, Singleton JR, Bromberg MB (2001). *A prospective evaluation of a standardized approach to peripheral neuropathy* [Abstract]. *Neurology*, A396.
18. Smith SM, **Smith AG**, Riley KD, Davis RK (2001). *A quantitative analysis of spinal accessory nerve function following neck dissection* [Abstract]. *Muscle Nerve*, 24, 1424-1425.
19. Lawson VL, **Smith AG**, Bromberg MB (2002). *Motor unit number estimation in Charcot Marie Tooth disorder* [Abstract]. *Neurology*, 58A.
20. **Smith AG**, Kroll R, Ramachandran P, Singleton JR (2002). *Cutaneous measures of diabetic neuropathy* [Abstract]. *Ann Neurol*, 52, S47.

21. **Smith AG**, Kroll R, Ramachandran P, Hauer P, McArthur JM (2002). *The reliability of skin biopsy with measurement of intraepidermal nerve fiber density* [Abstract]. *Neurology*, 58, A500.
22. Singleton, JR, Howard JR, Goldstein J, Russell J, Feldman E, Peltier A, **Smith AG** (2003). *Diet and exercise compliance decreases neuropathic pain in patients with polyneuropathy associated with prediabetes* [Abstract]. *Ann Neurol*, 54, S73.
23. **Smith AG**, Howard JM, Kroll R, Singleton JR (2003). *Microvascular dysfunction in diabetic and idiopathic neuropathy* [Abstract]. *Neurology*, 60, A386.
24. **Smith AG**, Howard JR, Goldstein J, Russell J, Feldman E, Peltier A, Singleton JR (2003). *Clinical features of impaired glucose tolerance neuropathy* [Abstract]. *Muscle Nerve*, Suppl 12, S183.
25. **Smith AG**, Howard JR, Goldstein J, Russell J, Feldman E, Peltier A, Singleton JR (2003). *The Utah Early Neuropathy Scale, a clinical scale sensitive to early small fiber neuropathy* [Abstract]. *Ann Neurol*, 54, S44.
26. Peltier AC, Feldman EL, Goldstein JM, **Smith AG**, Singleton JR, Russell JW (2003). *Autonomic testing improves the diagnostic certainty in IGT related neuropathy* [Abstract]. *Muscle Nerve*, Suppl 12, S194.
27. **Smith AG**, Howard JR, Russell J, Peltier A, Feldman EL, Goldstein J, Singleton JR (2004). *Impaired Glucose Tolerance Causes Neuropathy Study; Baseline Characteristics and 1 Year Follow-up* [Abstract]. *Ann Neurol*, 56, S283.
28. Peltier AC, Singleton JR, Goldstein J, Howard JR, **Smith AG**, Feldman EL, Russell J (2004). *Reproducibility of Quantitative Sensory Testing in the Clinical Trial Setting* [Abstract]. *Ann Neurol*, 56, S276.
29. Singleton JR, Howard JR, Russell J, Peltier A, Feldman EL, Goldstein J, **Smith AG** (2004). *Compliance with Diet and Exercise Modification Decreases Neuropathic Pain in Patients with Impaired Glucose Tolerance and Polyneuropathy (6 Month Follow-up)* [Abstract]. *Neurology*, 62, A262.
30. Peltier AC, Howard JR, **Smith AG**, Goldstein JR, Feldman EL, Singleton JR, Russell J (2004). *Quantitative Sudomotor Axon Reflex Testing is a Sensitive Measure of Small Fiber Function in Impaired Glucose Tolerance Related Neuropathy* [Abstract]. *Neurology*, 62, A222.
31. Smith S, **Smith AG**, Bixby B, Hamwi J, Feldman EL, Russell JR, Peltier A, Goldstein J, Singleton JR (2005). *Diet and exercise is associated with sustained improvement in neuropathic pain in patients with prediabetes* [Abstract]. *Ann Neurol*, 58(Suppl 9), 216.
32. Russell JW, Peltier AC, Sheikh K, Howard J, Goldstein J, **Smith AG**, Feldman EL, Singleton JR (2005). *Autonomic dysfunction in subjects with impaired glucose tolerance* [Abstract]. *Journal of the Peripheral Nervous System*, 10(Supp), 78-9.
33. **Smith AG**, Russell JR, Feldman EL, Peltier A, Goldstein J, Singleton JR (2005). *The electrophysiologic features of impaired glucose tolerance neuropathy* [Abstract]. *Muscle Nerve*, 32, 390.
34. **Smith AG**, Bixby B, Smith S, Howard J, Russell J, Peltier A, Goldstein J, Feldman EL, Singleton JR (2005). *Peripheral neuropathy and the metabolic syndrome* [Abstract]. *Ann Neurol*, 58(Suppl 9), 116.
35. **Smith AG**, Bixby B, Smith S, Howard J, Russell JW, Peltier AC, Goldstein J, Feldman EL, Katz B, Singleton JR (2005). *The effect of diet and exercise on*

- neuropathy progression in subjects with impaired glucose tolerance [Abstract]. *Journal of the Peripheral Nervous System*, 10(Supplement), 88.
36. **Smith AG**, Bixby B, Singleton JR, Smith S, Hamwi J, Feldman EL, Russell JW, Peltier A, Goldstein J (2005). *The Utah Early Neuropathy Scale Is a Sensitive Measure of Early Neuropathy Associated with Impaired Glucose Tolerance* [Abstract]. *Neurology*, (06), 124.
 37. **Smith AG**, Smith S, Bixby B, Hamwi J, Feldman EL, Russell J, Peltier A, Goldstein J, Singleton JR (2005). *The Efficacy of a Practical Diet and Exercise Counseling Regimen on Metabolic Parameters and Neuropathy Progression in Patients with Impaired Glucose Tolerance and Neuropathy* [Abstract]. *Neurology*, (06), 121.
 38. Bixby B, Singleton JR, **Smith AG** (2006). *Cutaneous microvascular reactivity in diabetic and prediabetic neuropathy* [Abstract]. *Neurology*, 65(02), 034.
 39. **Smith AG**, Russell JW, Feldman EL, Goldstein JM, Peltier AC, Pollari D, Hamwi J, Bixby B, Singleton JR (2006). *Diet and exercise result in epidermal reinnervation in impaired glucose neuropathy* [Abstract]. *Neurology*, 65(S27), 002.
 40. Rose K, Singleton JR, **Smith AG** (2006). *Increased prevalence of metabolic syndrome in peripheral neuropathy* [Abstract]. *Neurology*, 65(S27), 006.
 41. Rose K, **Smith AG**, Singleton JR (2006). *The relationship between pain glucose and in impaired glucose tolerance neuropathy* [Abstract]. *Neurology*, 65(05), 103.
 42. Bradley DF, **Smith AG**, Wade J, Singleton JR (2007). *Comparative Evaluation of Timed Vibration Detection as a Measure of Diabetic Peripheral Neuropathy* [Abstract]. *Neurology*, 68.
 43. **Smith AG**, Bixby B, Wade J, Pollari D, Bradley D, Singleton JR (2007). *Risk Factors for Early Diabetic Neuropathy* [Abstract]. *Neurology*, 68.
 44. **Smith AG**, Tobias J, Pollari D, Sutton MA, Muhammad N, Babbar S, Chanda S, Singleton JR, Bley K (2007). *Counting Rules Count: Effects of Counting Criteria on Quantitation of Capsaicin-Induced Epidermal Denervation* [Abstract]. *American Academy of Neurology Annual Meeting*, 68, A411.
 45. Singleton JR, Bixby B, Feldman EL, Goldstein, Russell J, Peltier A, Wade J, Katz BJ, **Smith AG** (2007). *Diet and Exercise Counseling Alone Does Not Prevent Long Term Neuropathy Progression in IGTN* [Abstract]. *American Academy of Neurology Annual Meeting*, 68, A410.
 46. Singleton JR, Wade J, Feldman EL, Russell, JW, Peltier A, Goldstein J, **Smith AG** (2007). *Diet and exercise alone does not prevent progression of prediabetic neuropathy* [Abstract]. *Journal of the Peripheral Nervous System*, 12, 581-582.
 47. Wagner JC, Singleton JR, Bixby B, Dolan C, Wade J, Bradley D, **Smith AG** (2007). *Prevalence and risk for upper extremity compressive mononeuropathies in diabetes* [Abstract]. *Journal of the Peripheral Nervous System*, 12, 592-593.
 48. Weintraub, MI, Herrmann DN, **Smith AG**, Bckonja, Cole SP, on behalf of the Magnetic Stimulation Group (2008). *A Randomized, Double-Blind, Placebo-Controlled Trial of Simultaneous Static and Time-Varying Electromagnetic Fields (PEMF) on Neuropathic Pain (NP) in Painful Diabetic Neuropathy (DPN)* [Abstract]. *Neurology*, 70, S39.002.
 49. **Smith AG**, Bixby B, Tye S, Bragg E, Pollari D, Singleton JR (2008). *Skin biopsy is a highly sensitive measure of early diabetic neuropathy progression* [Abstract].

- Neurology*, 70, S39.001.
50. Singleton JR, Marcus RL, Smith SB, Arsenault C, Burch A, **Smith AG** (2008). *Structured exercise improves small fiber function in diabetic subjects without overt neuropathy* [Abstract]. *Neurology*, 70, S39.005.
 51. Weintraub MI, Herrmann DN, **Smith AG**, Backonja MM, Cole SP (2008). *Correlation of Epidermal Nerve Fiber Density (ENFD) Changes and Anti-Nociceptive Effect Following Simultaneous Exposure to Static and Time-Varying Electromagnetic Fields (PEMF) in Painful Diabetic Neuropathy (DPN)* [Abstract]. *Neurology*, 70, P03.159.
 52. **Smith AG**, Bixby B, Burch A, Arsenault CJ, Singleton JR (2008). *Diagnosis of early diabetic neuropathy* [Abstract]. *Neurology*, 70, S39.001.
 53. **Smith AG**, Dolan J, Singleton JR (2008). *Diagnostic utility of nerve conduction studies for early diabetic neuropathy* [Abstract]. *Muscle & Nerve*, 38(4), S80.
 54. Kareus S, Singleton JR, Bragg E, **Smith AG** (2008). *Subepidermal Nerve Plexus Density (SENPD) Measurement Increases Diagnostic Sensitivity of Skin Biopsy in Subjects with Diabetic Neuropathy* [Abstract]. *Annals of Neurology*, 64(S12), M-17.
 55. **Smith AG**, Bragg E, Arsenault C, Burch A, Singleton JR (2009). *Early diabetic neuropathy is characterized by progressive small fiber loss* [Abstract]. *Journal of the Peripheral Nervous System*.
 56. Singleton JR, Marcus RL, Smith SB, Arsenault C, Burch A, **Smith AG** (2009). *Supervised exercise improves small fiber function in diabetic subjects without neuropathy* [Abstract]. *Journal of the Peripheral Nervous System*.
 57. **Smith AG**, Singleton JR (2009). *Metabolic syndrome is a risk factor for idiopathic and diabetic neuropathy* [Abstract]. *Annals of Neurology*.
 58. **Smith AG**, Colin Arsenault, Charles Latner, Michael T. Porzio, Haimei Wang, J. Rob Singleton (2010). *The Effect of Lifestyle Intervention on Nerve Regeneration in Metabolic Syndrome* [Abstract]. *Scientific Sessions: Peripheral Nerve: Clinical Advances in Peripheral Neuropathy*.
 59. J. Robinson Singleton, Collin J. Arsenault, **Smith AG** (2010). *Timed Vibration Predicts Progression to Symptomatic Neuropathy in Diabetic Subjects* [Abstract]. *Poster Session VI: Peripheral Nerve: Diabetic Neuropathy*.
 60. **Smith AG**, Arsenault C, Latner C, Porzio MT, Wang H, Singleton JR (2010). *The effect of lifestyle intervention on nerve regeneration in metabolic syndrome* [Abstract]. *Neurology*.
 61. Singleton JR, Marcus RL, Smith SB, Arsenault C, Burch A, **Smith AG** (2010). *Timed vibration predicts progression to symptomatic neuropathy in diabetic subjects* [Abstract]. *Neurology*.
 62. **Smith AG**, Arsenault C, Latner C, Porzio M, Wang H, Singleton JR (2010). *Lifestyle intervention improves nerve regenerative capacity in metabolic syndrome* [Abstract]. *Proceedings of the Neurodiab Meeting*.
 63. Hamid HS, Hayes JM, Robell NJ, Porzio M, Singleton JR, **Smith AG**, Lentz SI, Feldman EL (2010). *Alterations in mitochondrial dynamics within intraepidermal nerve fibers associated with the progression of diabetic neuropathy* [Abstract]. *Annals of Neurology*.
 64. Zilliox L, Peltier A, Wren PA, Anderson A, **Smith AG**, Singleton JR, Feldman E,

Smith, Page 30

- Alexander NB, Russell JW (2011). *Assessing Autonomic Dysfunction in Early Diabetic Neuropathy: The Survey of Autonomic Symptoms (SAS)* [Abstract]. *CR01 2011 Boston: 18th Conference on Retroviruses and Opportunistic Infections*.
65. Singleton JR, Arsenault C, Porzio M, Wange H, **Smith AG** (2011). *Early decline in intraepidermal nerve fiber density predicts progression to symptomatic neuropathy in patients with diabetes* [Abstract]. *Neurology*.
 66. Arsenault C, Singleton JR, Porzio M, Wang H, **Smith AG** (2011). *Nerve regenerative capacity in diabetes and metabolic syndrome* [Abstract]. *Neurology*.
 67. Singleton JR, Latner C, Arsenault CJ, Porzio MT, **Smith AG** (2011). *Accelerated intraepidermal nerve fiber density (IENFD) decline precedes progression to neuropathic symptoms in patients with diabetes* [Abstract]. *Diabetes*, 60(S1).
 68. Singleton JR, Marcus R, Arsenault CJ, Porzio MT, Cameron JM, **Smith AG** (2011). *Weight loss and lowered triglycerides improves cutaneous re-innervation in non-neuropathic subjects with diabetes or prediabetes* [Abstract]. *Diabetes*, 60(S1).
 69. **Smith AG**, Singleton JR (2011). *Early loss of epidermal nerve fibers in asymptomatic subjects predicts future development of symptomatic diabetic neuropathy* [Abstract]. *Journal of the Peripheral Nervous System*.
 70. Gibbons CH, Lafo J, **Smith AG**, Singleton JR, Freeman R (2011). *The quantification of sudomotor nerve fibers: a multicenter study in diabetes* [Abstract]. *Journal of the Peripheral Nervous System*.
 71. Zilliox LA, Peltier AC, Wren PA, Anderson A, **Smith AG**, Singleton JR, Feldman EL, Alexander NB, Russell JW (2011). *Assessing autonomic dysfunction in early diabetic neuropathy: the survey of autonomic symptoms* [Abstract]. *Journal of the Peripheral Nervous System*.
 72. Cheng HT, Dauch JR, **Smith AG**, Singleton JR, Yanik BM, Feldman EL (2011). *Characterization of intraepidermal nerve fiber morphology in pain associated with diabetic neuropathy and impaired glucose tolerance* [Abstract]. *Journal of the Peripheral Nervous System*.
 73. Hamid HS, Hayes JM, Robell NJ, Porzio M, Singleton JR, **Smith AG**, Lentz SI, Feldman EL (2011). *Quantifying changes in mitochondria within human intraepidermal nerve fibers associated with diabetes and diabetic peripheral neuropathy* [Abstract]. *Journal of the Peripheral Nervous System*.
 74. **Smith AG**, Singleton JR, Chung L, Burbank E, Afra P, Hoesch R, Ledyard H, Dolan C, Smith S (2012). *Clinical and Electrodiagnostic Features of an Outbreak of Foodborne Botulism Due to Home-Brew Alcohol among Prisoners* [Abstract]. *The American Academy of Neurology*.
 75. **Smith AG**, Leonard M, Gerardi R, Porzio M, Kim G, Digre K, Mifline M, Keung B, Singleton JR (2013). *Corneal Confocal Microscopy (CCM) is a sensitive measure of early diabetic neuropathy* [Abstract]. *Journal of the Peripheral Nervous System*.
 76. **Smith AG**, Gerardi R, Lessard M, Reyna SP, Singleton JR (2013). *Sudoscans as a diagnostic tool for peripheral neuropathy* [Abstract]. *Journal of Peripheral Nervous System*.
 77. **Smith AG**, and Singleton JR (2013). *Corneal Confocal Microscopy as surrogate measure of diabetic neuropathy* [Abstract]. *Annals of Neurology*.
 78. Singleton JR, Marcus R, **Smith AG** (2013). *Weight loss and lowered glucose*

- improve cutaneous reinnervation in non-neuropathic subjects with diabetes or prediabetes* [Abstract]. *Journal of Peripheral Nervous System*.
79. Boger MS, Hulgán TM, Wang L, **Smith AG**, Singleton JR, Peltier AC (2013). *Comparison of quantitative sudomotor axon reflex test, Utah early neuropathy score, and skin biopsy in detecting neuropathy in HIV-infected persons receiving antiretroviral therapy* [Abstract]. *Neurology*, 169(1), 56-61.
 80. **Smith AG**, Kim G, Keung B, Allen B, Porzio M, Latner C, Singleton JR (2012). *The reliability of corneal nerve fiber assessment using corneal confocal microscopy* [Abstract]. *Neurology*.
 81. **Smith AG**, Kim G, Porzio M, Allen B, Digre, K, Mifflin M, Singleton JR (2013). *Confocal microscopy is a reliable measure of corneal innervation* [Abstract]. *Annals of Neurology*.
 82. Cheng H, Dauch JR, Porzio M, Yanik BM, Hsieh W, **Smith AG**, Singleton JR, Feldman E (2012). *Useful biomarkers for the diagnosis of painful diabetic neuropathy* [Abstract]. *Neurology*.
 83. Singleton JR, Marcus R, Arsenault CJ, Porzio M, Jackson JE, **Smith AG** (2012). *Metabolic syndrome reduces cutaneous nerve regenerative capacity* [Abstract]. *Annals of Neurology*.
 84. Nevoret ML, Vinik A, **Smith AG**, Freedman B, Singleton JR (2014). *Sudscan: An alternative tool in the understanding of the microvascular complications of diabetes* [Abstract]. *American Academy of Clinical Endocrinology*.
 85. Singleton JR, Volckmann E, Graham T, **Smith AG** (2014). *[I6-1.008] Neuropathy Associated with Nondiabetic Obesity* [Abstract]. *Neurology*.
 86. **Smith AG**, Lessard M, Singleton JR (2014). *[P7.003] Sudscan as a Diagnostic Tool for Diabetic and Idiopathic Peripheral Neuropathy* [Abstract]. *Neurology*.
 87. Hannon PM, Austin J, McCauley M, Smith D, **Smith AG**, Salari A, Majersik JJ (2014). *Telestroke: Expanding Access and Coordination of Care From Acute Stroke to Follow-up* [Abstract]. *Poster Session at AHA/ASA Quality of Care and Outcomes Research (QCOR) Meeting 2014, Baltimore, MD*.
 88. Kolb N, **Smith AG**, Singleton JR, Brown SM, Wong B, Dunson WA, Wujcik D, Beck SL, Mooney KF (2015). *A novel evidenced based phone system reduces symptoms of chemotherapy induced neuropathy* [Abstract]. *Neurology*.
 89. Kolb N, **Smith AG**, Singleton JR, Brown SM, Wong B, Beck SL, Mooney KF (2015). *Neuropathy symptoms are associated with increased fall risk in patients receiving potentially neurotoxic chemotherapy* [P7.082] [Abstract]. *Neurology*, 84(14).
 90. **Smith AG**, Lessard M, Singleton JR (2015). *The diagnostic utility of nerve conduction studies and skin biopsy for diabetic neuropathy: a Bayesian analysis (S42.007)* [Abstract]. *Neurology*, 84(14).
 91. Ajroud-Driss S, Vinik AI, **Smith AG**, Cha B-S, Choi SH, Wymer JP, Shaibani A, Kessler JA, and the VM202 DPN-II Study Group (2015). *Double-blind, placebo-controlled study of hepatocyte growth factor gene therapy in painful diabetic neuropathy* [Abstract]. *The Journal of Peripheral Nervous System*.
 92. Kolb N, Brown SM, Singleton JR, **Smith AG** (2015). *The impact of neuropathy severity of neurotoxic chemotherapy dose modification* [Abstract]. *The Journal of Peripheral Nervous System*.

93. Baets J, Duan X, Wu Y, **Smith AG**, Seeley W, Mademan I, McGrath NM, Beadell NC, Khoury J, Botuyan M-V, Mer G, Worrell GA, Hojo K, DeLeon J, Laura M, Liu Y-T, Senderek JP, Weis J, Van den Bergh P, Merrill SL, Reilly MM, Houlden H, Grossman M, Scherer SS, De Jonghe P, Dyck PJ, Klein CJ (2015). *Defects of mutant DNMT1 are linked to a spectrum of neurological disorders* [Abstract]. *The Journal of Peripheral Nervous System*.
94. Kolb N, Brown SM, Wang V, Wong B, Beck SL, Mooney K, Singleton JR, **Smith AG** (2015). *The association between chemotherapy induced peripheral neuropathy related numbness and tingling and falls in cancer patients* [Abstract]. *The Journal of Peripheral Nervous System*.
95. **Smith AG**, Volkmann E, Graham T, Solis V, Singleton JR (2015). *Neuropathy risk among bariatric surgery candidates* [Abstract]. *The Journal of Peripheral Nervous System*.
96. Ajroud-Driss S, Simpson D, **Smith AG**, Freeman RL, Hoke A (2015). *Peripheral neuropathy research registry* [Abstract]. *The Journal of Peripheral Nervous System*.
97. Brown SM, Wang V, **Smith AG**, Singleton R, Kolb N (2015). *A prospective study examining the association of paclitaxel acute pain syndrome and chemotherapy induced peripheral neuropathy [P0053]* [Abstract]. *Translational Cancer Epidemiology: From Cells To Clinic And Population*.
98. Daniel C, Abenroth A, **Smith AG**, Greenlee JE, Clardy SL (2015). *Lambert-Eaton Myasthenic Syndrome: Epidemiology and Therapeutic Response in the National Veteran Affairs (VA) Population* [Abstract]. *Annals of Neurology*, 78(S19), 10.
99. Sanders DB, Juel VC, Harratt Y, **Smith AG**, Peltier A, Marburger T, Lou J-S, Pascuzzi RM, Richman DP, Xie T, Jacobus LR, Ales KL, Jacobus DP, DAPPER Study Team (2015). *Results From the Dapper Study: Inpatient Double-Blinded Placebo-Controlled Withdrawal Study of 3,4-Diaminopyridine Base (3,4-DAP) in Subjects with Lambert-Eaton Myasthenic Syndrome (LEMS)* [Abstract]. *AANEM*.
100. Abenroth D, **Smith AG**, Greenlee J, Clardy S (2015). *Epidemiology of Lambert-Eaton Myasthenic Syndrome in the Veterans Affairs Population* [Abstract]. *AANEM*.
101. Sanders DB, Juel VC, Harati Y, **Smith AG**, Peltier A, Marburger T, Lou JS, Pascuzzi RM, Richman DP, Xie T, Jacobus LR, Alex KL, Jacobus DP, DAPPER Study Team (2015). *Results of a Double-Blind Placebo-Controlled Study of 3,4-Diaminopyridine (DAP) in Lambert-Eaton Myasthenic Syndrome (LEMS)* [Abstract]. *Annals of Neurology*, 78(S19), 16.
102. Kolb NA, Singleton JR, Brown SM, Curtin K, **Smith AG** (2015). *Prevalence of Chemotherapy Induced Peripheral Neuropathy (CIPN)* [Abstract]. *Annals of Neurology*, 78(S19), 10.
103. Ajroud-Driss S, Vinik A, **Smith AG**, Cha BS, Choi SH, Whymer J, Shaibani A, Kessler JA, VM202 DPN-II Study Group (2015). *Double-Blind, Placebo-Controlled Study of Hepatocyte Growth Factor Gene Therapy in Painful Diabetic Neuropathy* [Abstract]. *Ann Neurol*, 78(S19), 15.
104. Thakkar N, Peloquin C, Ales K, Jacobus D, Jacobus L, Cohen-Wolkowicz M, Guptill J.T., Gonzalez D, for the DAPPER Study Group, University of North Carolina at Chapel Hill, Chapel Hill, NC, University of Florida, Gainesville, FL,

- Jacobus Pharmaceutical Company, Inc., Plainsboro NJ, Duke Clinical Research Institute, Durham NC, Duke University, Department of Neurology, Durham NC, DAPPER Study Group site investigators: Juel VC, Harati Y, **Smith AG**, Peltier A, Lou J-S, Marbuger T, Pacuzzi RM, Richman DP (2016). *Population Pharmacokinetics/Pharmacodynamics of 3,4-Diaminophridine Free Base In Patients with Lambert-Eaton Myasthenic Syndrome* [Abstract].
105. O'Donnell s, Majersik J, Chung L, **Smith AG**, Dunleavy B, deHavenon A (2016). *CT-Based Collateral Scoring Can Predict Ischemic Penumbra Volume in Acute Ischemic Stroke [P2.330]* [Abstract]. *American Academy of Neurology, 2016 Annual Meeting, Vancouver, BC.*
 106. **Smith AG**, Graham T, Volckmann E, Hauer P, Aperghis A, Solis V, Singleton J (2016). *Bariatric Surgery Improves Peripheral Nerve Function and Intraepidermal Nerve Fiber Density in Obese Patients without Symptomatic Neuropathy [P1.44]* [Abstract]. *American Academy of Neurology, 2016 Annual Meeting, Vancouver BC,.*
 107. deHavenon A, O'Donnell S, Wang H, Chung L, **Smith AG**, Majersik J (2016). *A Comparison of Three Different CTA Collateral Scoring Systems' Ability to Predict MR Lesion Volume and Outcome after Ischemic Stroke [P6.010]* [Abstract]. *American Academy of Neurology, 2016 Annual Meeting, Vancouver, BC.*
 108. **Smith AG**, Kowalsky G, Hauer P, Aperghis A, Singleton J (2016). *The Diagnostic Performance and Clinical Relevance of Corneal Confocal Microscopy (CCM) in Patients with Diabetic Peripheral Neuropathy [S44.001]* [Abstract]. *American Academy of Neurology, 2016 Annual Meeting, Vancouver, BC.*
 109. Kolb N, Brown S, Singleton J, **Smith AG** (2016). *Carefully Phenotyped Changes in Neuropathy Measures with Exposure to Neurotoxic Chemotherapy [P4.231]* [Abstract]. *American Academy of Neurology, 2016 Annual Meeting, Vancouver, BC.*
 110. Brown S, **Smith AG**, Singleton JR, Kolb N (2016). *The Clinical Phenotyped and Neuropathic Outcomes of Paclitaxel-Acute Pain Syndrome [P4.233]* [Abstract]. *American Academy of Neurology, 2016 Annual Meeting, Vancouver, BC.*

ORAL PRESENTATIONS

Keynote/Plenary Lectures

International

- | | |
|------|--|
| 2012 | Why do therapies that work in rodent models fail to do so in humans? Innovative Therapies for Peripheral Neuropathies, the 2012 Foundation for Peripheral Neuropathy International Research Symposium |
| 2012 | Measurement of Painful Neuropathy--Correlations of Nerve Conduction Velocity, Skin Biopsy and Nerve Biopsy, and Corneal Nerve Measurements. American Diabetes Association Scientific Meetings, Philadelphia PA |
| 2014 | Advances in the diagnosis and clinical interventions for metabolic neuropathy, International Congress on Neuromuscular Diseases, Nice France |

National

- 2009 Speaker & Organizer, Peripheral Neuropathy Society, Peripheral Neuropathy Conference: Neuropathy and You
- 2010 - Present Impaired Glucose Tolerance and Neuropathy, The Neuropathy Association, Peripheral Neuropathy Summit, Washington D.D.

Local/Regional

- 2009 Therapeutic Development for Diabetic Neuropathy, Western Intermountain Neurological Organization, Salt Lake City Utah

Meeting Presentations (Not Published Abstracts and Not Unpublished Posters)

International

- 2001 A Diagnostic Approach to Peripheral Neuropathy, American Academy of Neurology Peripheral Neuropathy Course, Philadelphia PA
- 2001 Motor Unit Number Estimation and Strength Correlates, First International Symposium on MUNE, Snowbird, UT
- 2005 The effect of diet and exercise on neuropathy progression in subjects with impaired glucose tolerance, Peripheral Nerve Society, Tuscany Italy
- 2009 Early diabetic neuropathy is characterized by progressive small fiber loss, Meeting of the Peripheral Nerve Society, Wurzburg, Germany
- 2012 Diabetic Neuropathies. Peripheral Neuropathy Course. American Academy of Neurology Annual Meeting. New Orleans LA.
- 2013 Inclusion Exclusion Criteria. Food and Drug Administration Peripheral Neuropathy Consensus Meeting
- 2013 Neuromuscular Update. Neurology Update. American Academy of Neurology Annual Meeting, San Diego California
- 2014 Therapy in Neurology: Neuromuscular, American Academy of Neurology Annual Meeting, Philadelphia, PA
- 2014 Neurology Update II: Neuromuscular, American Academy of Neurology Annual Meeting, Philadelphia, PA
- 2015 Peripheral Neuropathies - Diabetic Neuropathy. American Academy of Neurology Annual Meeting. Washington, DC.
- 2015 Diagnosis of Small Fiber Neuropathy: Pearls and Pitfalls of Skin Biopsy as a Diagnostic Test. American Association of Neuromuscular and Electrodiagnostic Medicine Annual Meeting. Honolulu, HI.
- 2015 Population Screening for Diabetic Neuropathy: Has Its Time Arrived?, American Association of Neuromuscular and Electrodiagnosis Medicine Annual Meeting, Honolulu, HI
- 2016 Plenary Session: Neurology Year in Review, Neuromuscular, American Academy of Neurology Annual Breakthroughs in Neurology Conference, Orlando, FL.
- 2016 AAN/ABPN Maintenance of Certification Informational Session, American Academy of Neurology, Breakthroughs in Neurology

| | |
|-----------------|--|
| | Conference, Orlando, FL. |
| 2016 | The Diagnostic Performance and Clinical Relevance of Corneal Confocal Microscopy (CCM) in Patients with Diabetic Peripheral Neuropathy. American Academy of Neurology, Annual Meeting, Vancouver, Canada |
| <u>National</u> | |
| 2000 | The Effect of Electrode Size on Nerve Conduction Study Reproducibility, American Academy of Electrodiagnostic Medicine, Philadelphia PA |
| 2001 | A Prospective Evaluation of a Standardized Approach to Peripheral Neuropathy, American Academy of Neurology, Philadelphia PA |
| 2001 | A quantitative analysis of spinal accessory nerve function following neck dissection. American Academy of Electrodiagnostic Medicine, Albuquerque, NM |
| 2002 | The Reliability of Skin Biopsy with Measurement of Intraepidermal Nerve Fiber Density, American Academy of Neurology, Denver CO |
| 2003 | A Diagnostic Approach to Peripheral Neuropathy, American Academy of Neurology Peripheral Neuropathy Course, Honolulu HI |
| 2004 | A Diagnostic Approach to Peripheral Neuropathy, American Academy of Neurology, Peripheral Neuropathy Course, San Francisco CA |
| 2005 | The Utah Early Neuropathy Scale Is a Sensitive Measure of Early Neuropathy Associated with Impaired Glucose Tolerance, American Academy of Neurology, Miami Beach Florida |
| 2005 | The Efficacy of a Practical Diet and Exercise Counseling Regimen on Metabolic Parameters and Neuropathy Progression in Patients with Impaired Glucose Tolerance and Neuropathy, American Academy of Neurology, Miami Beach Florida |
| 2006 | Increased prevalence of metabolic syndrome in peripheral neuropathy, American Academy of Neurology, San Diego CA |
| 2006 | Diet and exercise result in epidermal reinnervation in impaired glucose tolerance neuropathy, American Academy of Neurology, San Diego California |
| 2007 | Counting Rules Count: Effects of Counting Criteria on Quantitation of Capsaicin-Induced Epidermal Denervation. American Academy of Neurology Annual Meeting, Portland, Oregon |
| 2008 | Diagnosis of early diabetic neuropathy, American Academy of Neurology Annual Meeting, Chicago, Illinois |
| 2008 | Skin biopsy is a highly sensitive measure of early diabetic neuropathy progression, American Academy of Neurology Annual Meeting, Chicago, Illinois |
| 2010 | The Effect of Lifestyle Intervention on Nerve Regeneration in Metaboloc Syndrome, American Academy of Neurology, Toronto, Canada |
| 2012 | Neuromuscular Emergencies. Brainstorm. Park City Utah |

2012 Neuromuscular Update. American Academy of Neurology Fall Meeting. Las Vegas, Nevada

Local/Regional

1998 Current Thought in Diabetic Neuropathy. Western Intermountain Neurologic Organization (WINO) biannual meeting
2001 The Therapeutic Use of Botulinum Toxin, Western Intermountain Neurologic Organization biannual meeting
2005 Post Polio Syndrome, Western Intermountain Neurologic Organization, Salt Lake City Utah
2012 NOMAD - NeuroNEXT
2015 Continuum: Test Your Knowledge: A Multiple Choice Question Review, American Academy of Neurology Fall Conference, Las Vegas, NV
2015 Neurology Update III: Neuro-Infectious Disease, Neuro-Ophthalmology and Neuromuscular Disease, American Academy of Neurology Fall Conference, Las Vegas, NV

Invited/Visiting Professor Presentations

International

2012 Impaired Glucose Tolerance and Neuropathy: smoking gun, guilt by association or therapeutic window. University of Toronto, Citywide Endocrinology Rounds
2015 Painful generalized diabetic polyneuropathy. AAPT Neuropathic Pain, Copenhagen, Denmark
2016 New Strategies to Treat or Prevent Diabetic Neuropathy, 59th Annual Meeting of Diabetes Society

National

1997 Toxic neuropathy. Grand rounds, Creighton University, Omaha Nebraska
1997 Models of neurotoxicity. Grand rounds, State University of New York (SUNY) Stonybrook
2002 A Diagnostic Approach to Peripheral Neuropathy, American Academy of Neurology Peripheral Neuropathy Course, Denver CO
2004 Peripheral Neuropathy Emergencies. Pearls and Pitfalls of Emergency Neurology Course, San Francisco CA
2006 A Neuromuscular CPC, Indiana University Department of Neurology
2006 Symptomatic Management of Diabetic Neuropathy - Meet the Professor, Endo Annual Update, San Francisco California
2007 Symptomatic Management of Diabetic Neuropathy - Meet the Professor, Endo Annual Update, San Antonio Texas
2008 "Update of Impaired Glucose Tolerance Neuropathy", Peripheral

Smith, Page 37

| | |
|------|--|
| 2008 | Neuropathy Update, Wayne State University "Does Hyperglycemia Cause Diabetic Neuropathy" Festschrift Honoring Dr. James W. Albers, University of Michigan Medical Center, Ann Arbor Michigan |
| 2009 | Therapeutic Development for Diabetic Neuropathy, Grand Rounds Beth Israel Deaconess Medical Center, Boston Massachusetts |
| 2012 | "Size matters: Small versus Large Nerve Fiber-New Models for Diabetic Neuropathy Trials" UCSD Neuroscience Grand Rounds |
| 2012 | Size Matters: Small Versus Large Fibers - New Models of Diabetic Neuropathy Clinical Trials, University of California San Diego Neurology Grand Rounds |
| 2013 | The conundrum of diabetic neuropathy - new models for therapeutic development. Grand Rounds, New York University |
| 2013 | The conundrum of diabetic neuropathy - new models for therapeutic development. University of Michigan |
| 2015 | Metabolic Neuropathy: A Tale of Two Trials Grand Rounds, Kansas University Medical Center, Kansas City, KS |
| 2016 | Metabolic Neuropathy: A Tale of Two Trials. Grand Rounds University of Vermont Medical Center, Burlington, VT |

Local/Regional

| | |
|------|--|
| 1997 | Spinal Cord Compression, Emergency Neurology Lecture Series, University of Utah Neurology |
| 1997 | Campylobacter and GBS, University of Utah Infectious Disease Conference |
| 1998 | Peripheral Neuropathy, Clinical Neuroscience Series, University of Utah Neurology |
| 1998 | Axonal Transport, Basic Neuroscience Series, University of Utah Neurology |
| 1998 | Basics of EMG, University of Utah Neuropsychiatric Institute |
| 1999 | Peripheral Sensory Organs, Basic Neuroscience Series, University of Utah Neurology |
| 1999 | The Effects of Alcohol on the Nervous System, Internal Medicine Conference |
| 1999 | CIDP, Idaho State University Family Practice Grand Rounds |
| 2000 | Myasthenia Gravis, Clinical Neuroscience Series, University of Utah |
| 2000 | Guillain Barré Syndrome, Physical Medicine in-service, University of Utah |
| 2001 | Therapeutic Uses of Botulinum Toxin, University of Utah Neurology Special Interest Group |
| 2001 | Botulinum Toxin, Utah Dystonia Support Group |
| 2002 | New treatment for cervical dystonia, Neurology Association of Southern California |
| 2002 | Peripheral Neuropathy, University of Utah Student Interest Group in |

- Neurology
- 2003 Time and meeting management. Chief Resident as Manager Course, Salt Lake City, UT
- 2014 Novel Trial Design For Peripheral Neuropathy Studies. Clinical Trials Day Symposium, University of Utah Clinical Neurosciences Center, Salt Lake City, UT.

Grand Rounds Presentations

- 1998 Sensory Neuropathy, University of Utah Neurology Grand Rounds
- 1998 A Case of Mushroom Poisoning, University of Utah Neurology Grand Rounds
- 1999 Update on Diabetic Neuropathy, University of Utah Family Practice Grand Rounds
- 2000 Hexosaminidase A deficiency, University of Utah Neurology Grand Rounds
- 2000 CIDP, University of Utah Neurology Grand Rounds
- 2001 The Therapeutic Uses of Botulinum Toxin, University of Utah Family Practice Grand Rounds
- 2002 A Case of Polyneuropathy in Utah, Clinical Pathology Grand Rounds, University of Utah
- 2005 The problem of idiopathic neuropathy, diabetes prediabetes and metabolic syndrome, University of Utah Neurology Grand Rounds
- 2006 Therapy in Peripheral Neuropathy, University of Utah Neurology Grand Rounds
- 2011 Challenges in diabetic neuropathy research, new models for trial design. Endocrinology Grand Rounds. University of Utah
- 2012 NeuroNEXT, Advancing Therapeutic Development in Neurology
- 2015 Treating (and Preventing) Metabolic Neuropathy. University of Utah, Neurology Grand Rounds.

Outreach Presentations

- 2009 Speaker & Organizer, Peripheral Neuropathy Society, Peripheral Neuropathy Conference: Neuropathy and You